# INTRODUCTION

*Information: A Historical Companion* explores how information has shaped and been shaped by human society across ages past and present. It offers readers views of history through the lens of information and views of information through the lens of history.

Such a project might seem paradoxical. In 1964, the media scholar Marshall McLuhan declared his the "age of information." The idea was widely taken up, so that in the following decade an IBM advertisement could announce, "Information: there's growing agreement that it's the name of the age we live in." Both announcements thrust information to the fore but in the process suggested that it and related information technologies of the sort IBM made had created a fundamental break from the past. History, by these and similar accounts, can seem retrograde, irrelevant to forward-looking information. This book is built on the belief that, contrary to IBM's assertion, "growing agreement" might reasonably be claimed to point another way. Since the 1970s, books, conferences, and university courses have shown increasing interest in information in prior ages. In the process, a growing body of information-focused research has thrown new light on both the past and the present, drawing the two together rather than separating them. Indeed, as this book goes to press, two other significant collections, Information Keywords and Literary Information in China: A History, are also coming into print. Together those volumes and this one reveal the remarkable range of approaches to and topics in information history that are raising interest and enthusiasm within academia and beyond.

For its part, this book assembles researchers who have engaged directly with information in historical context to illustrate for scholars and general readers alike the breadth and the depth of these developing perspectives. The contributors look at the emergence across history of new information practices, technologies, and institutions as these developed to address informational challenges of their day. In particular, they look at moments of confrontation and transition—beginning, for example, with Columbus's legendary encounter with Caribbean societies in 1492—to reveal how approaching these as part of a history of information provides fresh insight into how they unfolded at the time and how they might be better understood today. From this starting point, the thirteen long articles in part 1 of the book present a cumulative narrative bringing this exploration of information in history to the present. The 101 short entries in part 2 examine in depth particular topics that are critical to such an exploration. Together, contributors to *Information: A Historical Companion* show how information and information technology were crucial to earlier ages, as they are to our age today.

*Information*, of course, is an expansive term. Consequently, any starting point for an investigation can seem arbitrary, and convincing arguments can always be made for starting elsewhere. But given inevitable constraints of space and time in a publishing venture such as this, the editors have chosen to focus principally on the \*early modern and modern periods, from circa 1450 to the present. Nonetheless the early articles and

# **CONTENTS**

	Introduction	vii
	Alphabetical List of Entries	xiii
	Thematic List of Entries	xv
	Contributors	xvii
	PART ONE	
1	Premodern Regimes and Practices	3
2	Realms of Information in the Medieval Islamic World	21
3	Information in Early Modern East Asia	38
4	Information in Early Modern Europe	61
5	Networks and the Making of a Connected World in the Sixteenth Century	86
6	Records, Secretaries, and the European Information State, circa 1400–1700	104
7	Periodicals and the Commercialization of Information in the Early Modern Era	128
8	Documents, Empire, and Capitalism in the Nineteenth Century	152
9	Nineteenth-Century Media Technologies	174
Ю	Networking: Information Circles the Modern World	190
11	Publicity, Propaganda, and Public Opinion: From the <i>Titanic</i> Disaster to the Hungarian Uprising	211

12	Communication, Computation, and Information	238
13	Search	259
	PART TWO	
	Alphabetical Entries	287
	Glossary	833
	Index	2/1

INTRODUCTION

many of the entries look back well before this to allow the overall collection to develop a continuous, information-focused narrative across many historical contexts down to the present in the scope of a single volume and with sufficient depth to reveal emergent and enduring themes. Within this constraint of continuity, the editors sought entries that engaged diverse issues and places and took distinct approaches to the topic of information. The attempt to achieve both continuity and diversity makes no claim to comprehensiveness. While it is hoped that this selection will appear judicious, all contributors, as well as the editors, are aware of inevitable gaps. They hope that the collection as a whole can indicate how topics omitted might nonetheless be illuminated by the overall information perspectives of the collection. Together, the articles reveal recurring responses to social change, thereby making evident over time and across cultures the resilience of attitudes familiar today, such as information optimism and information anxiety or faith in information "solutions" and surprise at their unintended consequences.

The opening account of Columbus and the Silk Road introduces globalization as one of the volume's major themes, represented by emerging networks of travel and communication across Asia, the Islamic world, and Europe. Informational connections inevitably shaped this "road" as not only silk and spices but also word of supply and demand and technologies such as paper and forms of writing and mathematics passed back and forth, opening new worlds, both literally and metaphorically, to recipients. These exchanges also fostered spiritual and scientific engagements, as intrepid Buddhists, Muslims, and Christians traveled in opposite directions along these interconnecting pathways, appearing in new environments and before new audiences, then sending back reports of such encounters. Supporting this circulation, the Silk Road and similar communication channels emerge as complex sets of social, institutional, and geographical networks, continuously circumscribed by short- and long-distance demands of and for information. In response to these demands, practices from printing to record keeping developed to formalize and reify information in different ways, and new communication channels able to carry such reifications arose, including crucially important forms of postal networks.

These developing interconnections played a significant role in shaping what we now think of as information technologies. Printing, which appeared first in the Sinosphere and centuries later in Europe, was crucially transformed and transforming in interactions with state, market, and culture. Analyses of these different settings and the information practices they favored add complexity to the simple determinism that accounts of information technology otherwise often assume. New information techniques also accompanied the formation of commercial relations, including the emergence of accounting devices for making and recording market exchanges, such as the financial "ledger" (a historical, transnational technology whose enduring contribution is evident today in the ledgers of Bitcoin and other digital currencies). On the one hand the articles track an increasing use of information to control and stabilize markets, as well as attempts by markets to control information in order to commodify and commercialize it. On the other hand the articles also explore the drive to resist control by removing restrictions and liberating the circulation of information. This resistance to control is particularly noticeable in the accounts of scientific groups and educational institutions that sought autonomy for members and also for their information so both could circulate across national, political, and religious boundaries. In particular the development and spread of new forms of scholarly information included natural histories, encyclopedias, and other kinds of reference works (all in different ways, of course, forerunners of this book).

One of the most influential developments explored across this volume is the rise of the "information state" and its informational apparatus—chanceries, secretaries, surveillance, archives, and the like—designed to help assert political control over populations. Among the most pervasive state-driven contributions revealed in these accounts are the standardization of information, through such things as forms and questionnaires, and the quantification such standardization allowed as populations were counted in different ways for different reasons. The passport, as one article shows, offers an unexpected and insightful view of the state's attempts to standardize both information and population and of their normative impact. Conversely, as the state sought to control people through information, others sought to use information to help control the state, and inevitably states pushed back. Thus articles in part 1 move from the rise of "public information" in Japan to the periodical and the press in the West and the emergence of the information media of the "public sphere" along with state initiatives to control the press and public opinion directly or indirectly.

The cluster of articles focused on the nineteenth century and early twentieth century track the development of electromagnetic and then electric technologies, including the telegraph, telephone, phonograph, radio, and television, showing how these technologies transformed prior assumptions about the relation between information, nationstates, and the public. Nonetheless, despite these apparently transformative technologies, themes of unequal distribution, problematic standardization, commodification, normativity, and state control return, along with new bouts of anxiety about increasing quantities of information and contrasting optimism about its potential to create efficient and effective markets and democracies. The final articles in part 1 take the collection from telephone and telegraph into IBM's "information age" with discussions of new communication and search technologies, exploring ways in which, despite claims of "revolution," recent developments and enthusiasms often parallel those discussed in earlier chapters. Throughout, these discussions repeatedly raise important questions of information politics and ethics that run from Magellan's (and Columbus's) willingness to lie to their crews to the development of propaganda to support state interests and the appropriation of personal information to improve search and surveillance technologies.

While part 1 builds a chronological narrative from the early modern period to the present, each of the entries in part 2 focuses on a particular topic critical to understanding information in history, from accounting, algorithms, and archivists to secretaries, social media, surveilling, and much more. The 101 short entries, which appear alphabetically, are also grouped together under a series of thematic categories (the category "objects," for instance, includes essays on coins, government documents, and inscriptions) and are linked to one another by cross-references. The book also contains a glossary, collecting and elaborating terms that may be unfamiliar to readers or that are used in distinctive ways in this volume. The cross-references and the glossary seek to support both the autonomy and the focus of particular pieces as well as the cumulative interdependence of the collection as a whole.

INTRODUCTION

Information's complex character presents challenges to anyone trying to undertake this sort of historical enquiry. As noted, influenced by arguments like those of McLuhan and IBM about the "information age," many assume that information is a critical feature for the present age alone. Conversely, other scholars have followed the linguist and computer scientist Anthony Oettinger, who in 1980 argued that "every society is an information society." Information: A Historical Companion, while recognizing changes over time, clearly takes the latter view. But in so doing, it faces questions about whose notion of information is at stake. Is it the historian's notion or that of the subjects of historical study? The latter perspective is exemplified in the words of the historian Peter Burke, whose Social History of Knowledge (2000-2012) seeks to trace "what early modern people—rather than the present author or his readers—considered to be knowledge." This is an important distinction; hence this book highlights people becoming aware of information as a critical aspect of their lives. But the alternative view, allowing examination of historical actors who did not have the term or the concept but whose behavior can nonetheless be illuminated with insight from current perspectives of information, is equally important here. Contributors have taken what they have seen as the appropriate approach for each topic.

Using the term information itself presents further challenges. Not only does the word favor particular (i.e., Latinate) languages, but even within those languages, information has been used in quite different ways in different times and contexts. Given these complexities, it might seem plausible to define information as a technical term to be shared among this book's contributors, thus putting to one side both historical and contemporary variations. Unfortunately, such definitions are as likely to generate as to resolve difficulties. For instance, it seems unexceptional to take "information" as a carrier of meaningful ideas between people. Such views, however, must confront the pioneering information theorist Claude Shannon, whose work, discussed in several of the pieces that follow, probably did more than any other to promote ideas of an "information age." Shannon's theory held meaning as irrelevant to information. Many also assume that information is an objective entity. Yet Geoffrey Bateson's famous definition of information as "a difference that makes a difference" (which is, deservedly, one of the most cited definitions in this book) portrays information as personally subjective: the ability to make a difference depends not only on the communication, but also on what the recipient knew before. Similarly, some take information as an autonomous entity that can be removed from one context and unproblematically presented in another. McLuhan, however, famously argued that in the age of information "the medium is the message," suggesting that context is inescapable.

Overall, the problems of definition are perhaps best exposed in a study in 2007 by the information scientist Chaim Zins that compiled definitions of *information* (and *data* and *knowledge*) offered by forty-five information scholars from sixteen countries. The compilation revealed 130 distinct notions, with different degrees of compatibility with one another, but no one capable of encompassing all the different variations. Contributors to this collection proceeded using their own understandings rather than subordinating themselves to a single definition. Their contributions reveal both common and distinctive threads across the volume's different historical and thematic explorations. Nonetheless, one aim of the book is, where possible, to encourage contributors and readers to weave these threads together.

While following the development of current scholarship, another goal of this book is to engage readers outside the academy. Consequently, the book eschews scholarly footnotes and long bibliographies, offering instead short "further reading" lists. (For those seeking more depth, the editors are maintaining a fuller bibliography of works explicitly and implicitly invoked in articles. This can be reached through the Princeton University Press website for this book at https://press.princeton.edu/books/hardcover/9780691179544/information.) Overall, both long and short pieces work individually and together to illustrate key facets of information's \*longue durée\* and wide reach from multiple perspectives. Again, this collection makes no claim to be comprehensive but rather aspires to be illustrative. Its editors hope that it will provide a range of audiences with useful and reliable insights, but also that it will prompt readers in the developing field of information history to pursue new questions and fill gaps made evident by this attempt.

Paul Duguid

#### **ACKNOWLEDGMENTS**

The editors would like to extend deepest thanks to the contributors to Information: A Historical Companion. Their enthusiasm in the process of putting the volume together, their responsiveness to comments, the help that they lent to one another, and the quality of their final submissions all lie quite beyond initial expectations and will be, we are confident, appreciated as much by the readers as by the editors. In particular, we would like to acknowledge the work of the authors of the articles in part 1 for both their remarkable contributions and their significant collaborations with the editors and with one another. The editors extend similar thanks for their oversight to the project's advisory board, Jean Bauer, Arndt Brendecke, Peter Burke, Michael Cook, Richard Drayton, Markus Friedrich, Randolph Head, Matthew Kirschenbaum, Carla Nappi, Daniel Rosenberg, and Jacob Soll, several of whom also contributed to the book. The editors are also deeply grateful to Princeton University Press and Anne Savarese and her staff, in particular Thalia Leaf, Jenny Tan, and Natalie Baan, for the extraordinary support and responsiveness provided throughout the process of assembling the book, and also to Kathleen Kageff for scrupulous copyediting and Tobiah Waldron for indexing the volume. Warm thanks also go to Jeremy Norman for the support of his History of Information website (HistoryofInformation.com) and to Theodore Delwiche for his work on the preparation of the glossary and related materials. All these people helped make a long process much easier for the editors, resulting in what, it is hoped, will be for all an informative and rewarding endeavor.

#### HOW TO USE THIS BOOK

Part 1 contains thirteen long articles that together provide a chronological narrative of the history of information from the early modern period to the present. Part 2 contains 101 short entries, focused on particular issues central to that history.

The short entries are arranged alphabetically. Each concludes with cross-references (labelled "see also") pointing to other entries in the volume that explore related topics.

INTRODUCTION

For readers interested in further research, each entry concludes with a "further reading" list of books and articles important to the topic under discussion. In a few cases, such as quotations drawn from secondary sources, brief parenthetical references within the entry point to their source.

Words marked with an asterisk (\*) on first mention within an entry can be found in the glossary, which defines terms used in specific ways within this book. Foreign words appear in italics on their first mention within each entry. Foreign terms and titles of books, newspapers, and the like have generally been translated if they are not explained. Translations often appear in parentheses after the original name—for example, *Tiangong Kaiwu* (The exploitation of the works of nature) or *Frankfurter Postzeitung* (Frankfurt postal newspaper).

A general index aids navigation across the multiple topics and issues that make up the volume as a whole.

Finally, an expanded bibliography offered by our contributors on their several topics can be found through the book's website, which will be linked from the Princeton University Press website and updated periodically.

Ann Blair, Paul Duguid, Anja-Silvia Goeing, and Anthony Grafton

## ALPHABETICAL LIST OF ENTRIES

accounting globalization albums governance

algorithms government documents

appraising

archaeological decipherment horoscopes

archivists

art of memory indexing

information, disinformation,

bells misinformation bibliography information policy

books inscriptions

book sales catalogs intellectual property bureaucracy intelligence testing

inventories

cameras

cards journals

cases

censorship khipus coins knowledge

commodification

computers landscapes and cities cybernetics/feedback layout and script in letters

learning

data letterpress databases letters

diagrams libraries and catalogs

digitization lists

diplomats/spies lithography

documentary authority

manuals

encrypting/decrypting maps error media

ethnography memory, art of: see art of memory

excerpting/commonplacing memos

merchants

files money

forecasting

forgery networks

newsletters

newspapers recording

notebooks reference books

registers

observing

office practices sales catalogs

scribes

petitions
photocopiers
plagiarizing
platforms
political reporting

stereotype printing storage and search

scrolls and rolls

secretaries

social media

sermons

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### INDEX

Pages in bold denote an entry devoted to the term. An asterisk signals that the term appears in the glossary.

23andme.com, 282 9/11 Commission, 411

Abbasids, 17, 22, 25–29, 33–34, 486 Abbate, Janet, 251, 254 Abelard, Peter, 700 Abigdor, Abraham, 826

accounting: 287–93; appraising and, 305; archivists and, 313; bookkeeping and, 33, 88, 91, 117–18, 238, 287–92, 527, 647–48, 833; capitalism and, 289; computers and, 292; databases and, 395; documents and, 170, 612; double-entry, 117, 287–92; error and, 404; Europe and, 68; Islamic world and, 25, 27, 32; Jesuits and, 288, 291; khipus and, 534–35; libraries and, 290; merchants and, 288–92; networks and, 88, 91; programming and, 703; records and, 117; search and, 261; surveilling and, 791; technology and, 648, 703

Acta diurna, 12 Acton, Eliza, 399

actor network theory (ANT), 624-26

Acts, Bible book of, 367 Adages (Erasmus) 80 Adams, Eddie, 190, 205 Adams, Evangeline, 455 Adams, John, 147 Adams, Percy, 824

Addams, Jane, 218, 797 Addison, Joseph, 141, 143, 637 Adelman, Jeremy, 190–209

Administrative Professionals' Day, 769

Adorno, Theodor, 234-35

Advanced Research Projects Administration (ARPA), 250–53, 273, 620

advertising: coins and, 371; communication and, 216–19, 222, 230–33, 255; forecasting and, 455; intellectual property and, 520–21; mass markets and, 725; media technology and, 181; networks and, 199–200, 204; newspapers and, 143–44, 181, 196, 198, 205, 216–19, 232–33, 520, 633, 635, 670–71, 689, 754, 779, 784; periodicals and, 128, 137, 141, 143–45; platforms and, 666; Pliny the Elder and, 730; political reporting and, 670–71; printing and, 74, 143, 145, 339, 351,

688–89, 711, 754, 784; privacy and, 688–89, 692; private, 371; publication and, 719, 754–55, 758; ratings and, 606; rise of, 143–44; scientific, 500; scribes and, 763; search and, 259, 271, 279–80; social media and, 778–81; surveys and, 798; telecommunication and, 809; translating and, 818

Aebbtlin, Georg, 110 Afghanistan, 5

Agence France-Presse (AFP), 194, 198, 208 Agenzia Telegrafica Stefani, 194, 200, 216, 603

Age of Revolutions journal, 149

agnostics, 665 Agricola, Georg, 592 Ahmed, Darwish, 99 Aihole Inscription, 509

Aitken, Max (First Baron Beaverbrook), 222

al-Amīn, 488

Alberti, Leon Battista, 417-23, 488-89, 592

albums, **294–96** Alcabitus, 486 Alciphron, 563 al-Dīn Shāh, Naṣr, 182

Aldrovandi, Ulisse, 444-45, 639

Alexa, 298 Alexander III, 473

Alexander the Great, 5, 462, 616

Alexander VI, 460 Algeria, 166, 206

algorithms: 298–303; albums and, 296; al-Khwārizmī and, 300–2; computers and, 257, 299–301, 381; cultural effects of, 298–302; cybernetics and, 386; data and, 388, 392, 396; diagnostic, 299; digitization and, 404; forecasting and, 456; Google and, 259–61, 271, 275, 278, 280–83, 298–301; Islamic world and, 300; misinformation and, 501–2; news and, 298–99, 631; observing and, 645–46; PageRank, 260–63, 270; platforms and, 666–67; privacy and, 686; programming and, 708; proofreaders and, 712; quantification and, 724; recording and, 744; registers and, 752; sales catalogs and, 757–58; social media and, 287, 298–99, 777–81; surveilling and, 790, 794; teaching and, 804–5; translating and, 300, 302

Ant Farm, 757

Anthropological Linguistics (Fayer), 815

Ali, Mehmed, 165-66 Anticipations (Wells), 453 'Alī', Muhammad, 182 Antikythera device, 239 Alibaba, 298 Antiquarian Booksellers Association of American (ABAA), 755 'Alī Miskawayh, Abū, 26 al-Işfahānī, Abū Faraj, 33 Aphrodisias, 13 Al Jazeera, 781 Apianus, Petrus, 461 al-Khwārizmī, Muhammad ibn Mūsā, 300-2, 748 Apin, Jacob, 679-80 Allende, Salvador, 385 Apple, 451, 687, 778, 811 All-Red Line, 230 Applied Secretarial Practice (Gregg and SoRelle), All-Red Route, 215 647 - 48All Writs Act, 687 appraising, 304-7 Aquinas, Thomas, 262-63, 319, 770 Almagest (Ptolemy), 28 Arabia Felix, 6 al-Maqrīzī, 30 al-Miṣrī, Shaykh Muḥammad, 585 Arabic numerals, 17 al-Mutawakkil, 488 Arab Spring, 781 al-Nadīm, 27-28 Arab Tongue, The (Ibn Manzūr), 33 al-Nuwayrī, Shihāb al-Dīn, 30-33 Aramaic, 7, 25, 89, 334, 556, 765 alphabet, 259-60, 605. See also Korea, alphabet archaeological decipherment, 308–11 alphabetization, 81-2 archives, East Asia, 48 al-Qalqashandī, Aḥmad ibn 'Alī, 31 Archivio Segreto, 124 al-Rashīd, Hārūn, 17 archivists: 312-17; Asia and, 53, 57-59; compilaal-Rāzī, 362 tions of, 57-59; computers and, 315, 317; docual-Rhasis, 364 ments and, 110, 124-25, 479, 734-36; Index Alsted, Johann Heinrich, 81, 543 archivorum generalis and, 113–14; Internet Archive al-Țabarī, Muḥammad ibn Jarīr, 24-25 and, 406; literacy and, 316; parchment and, Altavista, 259 315; records and, 110, 113, 116, 121, 124-26; al-'Umarī, Ibn Fadl Allāh, 31–32 registers and, 117; rule of, 312–17; secretaries and, Alvarez, Luis, 698-99 113, 121, 124-26, 313, 315; Society of American al-Ya<sup>c</sup>qūbī, Ahmad, 488 Archivists and, 317; storage and, 48-49 Arco do Cego Printing House, 818 Amantius, Bartholomaeus, 461 Amarakosha (Sanskrit dictionary), 747 Ariès, Philippe, 690 Amazon, 243, 263, 272, 298-99, 337, 342, 649, Aristaenetus, 563 754, 757 Aristotle, 28, 76, 333, 358, 462, 494, 598, 642-43, Amerbach, Johann, 68 684, 696–97, 719, 765 American Civil War, 193, 205, 498 Arnauld, Antoine, 100 American Documentation Institute, 270 Arnau of Villanova, 363 American Historical Review journal, 531–32 Arnold, Matthew, 217 American National Standards Institute, 405 Arrow, Kenneth, 133 American Revolutionary War, 147 Artemidorus, 28 \*artificial intelligence (AI), 247, 358, 380, 537, Ames, Joseph, 330 Amgoza, Bata Kindai, 463 Amory, Hugh, 71, 331 art of memory, 268, 318-22; Aristotle on, 683-84; Analytical Engine, 240-41, 246, 265 Christians and, 319, 321; excerpting and, 442; Anatolia, 13 flashback and, 684–85; learning and, 555–59; "Anatomy of a Large-Scale Hypertextual Search memory palaces and, 322; photographic memory Engine, The" (Brin and Page), 259 and, 684; use of, 318-22 Asclepius, 362 Anaxagoras, 765 Ashby, W. Ross, 385 Anderson, Benedict, 715 Andreesen, Marc, 279 Ashkenazi Jews, 69 Anglo-Egyptian War, 178 Ashmole, Elias, 77, 767 Annius of Viterbo, 460–61 Ashmolean museum, 76–77 Annual Review of Psychology journal, 532 Ashurbanipal, 327

Asian Avenue, 780

AskJeeves, 279

Assange, Julian, 412, 692 Balthasar, Johann Karl, 117 Assault on Privacy, The (Miller), 689-90 Balzac, Honoré de, 344 Banasa, 11 Associated Press, 177–78, 190, 194, 198, 208–9, 216, 220, 351, 603, 635 Bank of England, 140, 144 Assyria, 334, 759 Baran, Paul, 248-49, 251, 273 astrology, 16, 22, 28, 35-36, 362-63, 452, 455, Barbarization of Civilization (Hayashi Gahō), 58-59 486-90, 598, 642 Barbaro, Ermolao, 407 "As We May Think" (Bush), 247, 266 Barbosa, Duarte, 435, 437-38 AT&T, 245, 809 Barlow, John Perry, 244, 256 Athenian Mercury, 140-41 Baron, Robert, 662 Atsutomo, Matsuda, 585 Barreiros, Gaspar, 461 Attalids of Pergamum, 765 Barthes, Roland, 728–32 Attention Merchants, The (Wu), 255 Bartik, Jean, 247 Attic Nights (Gellius), 80 Barygaza, 9 Atticus, Titus Pomponius, 564, 709 Basra, 24, 28, 98 Augmentation Research Center, 266 Bass Beer, 587 Augustine, 494, 730-33, 766-67, 773 Bateson, Gregory, x, 382-86, 426, 544 Augustus, 12, 13, 508 Battle of Fréteval, 110 Austen, Jane, 554, 687 "Battle of the Books" (Swift), 460 Austria, 98, 111, 124, 136, 182, 221, 223, 234, Baudin, Nicolas, 638 394, 409, 567, 609, 752, 801 Baudot, Émile, 245, 807 autodidacticism, 72, 427, 557-59 Bauhin, Caspar, 78 Avicenna, 26, 72, 318, 362, 748 Bautier, Henri, 110 Bayle, Pierre, 139-40 Avala, Diego, 314 Azzolini, Monica, 486-90 Bayly, Christopher, 106, 149-50 BBC, 205, 209, 226-32, 604 Babbage, Charles, 240-50, 254, 265, 380, 431 Beatles, 206 Babbitt (Lewis), 769 Beattie, James, 430 Babson, Roger, 454 Beauvais, Vincent de, 63, 74-75 Beccaria, Cesare, 147 Bābur, 36 Babylon, 11, 309, 334, 397, 408, 486, 508, 510, Bedouins, 24, 32 636, 641-42, 764 Beethoven, Ludwig van, 636 Bachman, Charles, 706 "Behavior, Purpose and Teleology" (Rosenblueth), Bacon, Francis: accounting and, 290-91; empirical 384 research and, 97, 539, 541, 644; encrypting and, Behn, Aphra, 95 422-23; excerpting and, 445, 447; governance Behringer, Wolfgang, 83 and, 471-72; influence of, 82; networks and, 622; Bel, 7 notebooks and, 637, 639; observing and, 644; Bell, Alexander Graham, 185-86, 214, 219, 228, plagiarizing and, 662; printing as transformative 245, 725, 740, 808-9 technology and, 82; records and, 104, 121-22; Bell, Daniel, 240, 242, 251 search and, 269; technologies and, 175; travel Bell, George, 784 and, 822, 825 Bell, Gordon, 266 Bacon, Francis (painter), 446 Bellamy, Edward, 453 Bacon, Kevin, 622 bells, 62, 323-25, 549 Bactria, 5, 7, 10 Bencao gangmu (Li Shizhen), 51–52 Baer, Steve, 757 Bendikson, Lodewyk, 657 Baidaphone, 188 Benedictine monks, 8, 72, 329, 459, 568 Baidu, 271, 298 Ben Ezra synagogue, 18 Baker, Nicholson, 276-77, 447 Bengal Gazette, 635 Baker, Ray Stannard, 218 Beniger, James, 243 Bakhtin, Mikhail, 581 Benjamin, Walter, 234, 447, 496-97 Baldwin, Melinda, 530-33 Benjamin of Tudela, 824

Benner, Samuel, 454

Bentham, Jeremy, 292

Bales, Robert Freed, 385

Ballantyne, Tony, 161

329-30

Bentley, Richard, 330, 460, 563 bibliophilies, 47, 327, 341, 829 Bently, Lionel, 514-22 Biblioteca Medicea-Laurenziana, 817 Berger, Jürg, 109 Bibliotheca universalis (Gessner), 81, 327, 393, 443, Berger, Susanna, 677-85 557, 572, 638-39, 733 Berliner Illustrierte Zeitung (BIZ), 202 Bibliothèque nationale, 573 Berliner Morgen-Zeitung, 219 Bibliothèque Orientale (Barthelemy d'Herbelot), 102 Berman, Sanford, 328–29 Bigelow, Julian, 384 Bernard, Claude, 645 Billings, John, 243-44, 247 Bernays, Edward, 224-25, 500 bills of mortality, 133-35, 140, 581 Berne Convention, 519 Binet, Alfred, 523-25 Berners-Lee, Tim, 252, 254-55, 621, 625 Binet-Simon Intelligence Scale, 525 Bernstein, Carl, 208 Bingham, Hiram, 195 Berosus Chaldaeus, 460 Binh, Phillipe, 56 Berry, Mary Elizabeth, 44, 678 Biringuccio, Vannoccio, 592 Berry, Wendell, 757 Birmingham Post, 219 Bertillon, Alphonse, 185 Birrell, Augustine, 520 Bezos, Jeff, 757 Bismarck, Otto von, 219, 409 Biagioli, Mario, 428 Bisticci, Vespasiano da, 65 Biaojia, Qi, 58-9 Bitcoin, viii, 423, 619 Bible: Acts, 367; Asia and, 54; Augustine and, Bithynia, 13 Black, Joseph, 400 730-31; bibliography and, 330-31; censorship and, 367; Christians and, 15, 54, 63, 65-67, 69, BlackPlanet, 780 74, 246, 263-64, 330-31, 333, 335, 367, 417, Black Sea, 16 428, 442, 492–93, 556–57, 573, 656, 673, 679, Blair, Ann, 61-84, 429, 629-30, 759-63, 730-31, 759, 772-73, 783; Codex Amiatinus and, 768-76 335; Codex Sinaiticus and, 335; concordances Bloch, Marc, 737 blockchains, 256 and, 63, 263-64, 492-93, 746, 748; connected learning and, 556-57; Dead Sea Scrolls and, 262, Bloom, Jonathan, 17 335, 764; division of books of, 492; Ecclesiastes, Blount, Henry, 825 75, 427; Europe and, 63, 65–67, 69, 74; Eusebius Blue Books, 163 and, 15; excerpting and, 442; Gutenberg and, Board of Rites, 47 65-67, 74; Hebrew, 28, 330, 492, 673, 759-60; Boccaccio, 62, 719 indexing and, 492-93; Isaiah, 696; King James Boccalini, Trajano, 581 Version, 246, 264; Lamentations, 492; Latin Bochart, Samuel, 826 Vulgate, 335; learning and, 556-57; maps and, Bodin, Jean, 82, 126 598-99; Matthew, 6, 773; New Testament, 766; Bodleian Library, 327–28, 572, 732–33 Old Testament, 28, 330, 760; Origen and, 330; Boemus, Joannes, 436-37 parchment and, 15; photocopier resolution and, Boer War, 178, 196, 215, 222 656; precision of, 263; printing press and, 417; Boleyn, Anne, 328 readers and, 730-31; Royal Polyglot Bible, 679; Bologna, Francesco da, 560 scholarly standards of, 442; scribes and, 759; Bolzoni, Lina, 318-22 search and, 263-64; sermons and, 772-73; Bomberg, Daniel, 18 stereotype printing and, 783; Ten Commandments Bond, Elizabeth Andrews, 141 and, 511; translating and, 428, 460, 493, 556-57, Bongars, Jacques, 826 773; travel and, 820; Wycliffe and, 773 Bonifacio, Baldassare, 105 Bibliander, Theodor, 557 Bonnard, Silvestre, 342 bibliography: 326-32; Bible and, 330-31; Christians Bonnet, Charles, 645 and, 330-31; classification and, 50, 326-32; codex \*bookkeeping, 33, 88, 91, 117–18, 238, 287–92, and, 327, 330-31; Gessner and, 79; indexing and, 527, 647-48, 833 327; Internet Archive and, 275, 278-79, 406; Book of Kells, 335 libraries and, 326–31; printing and, 327–30; Book of Mormon, 558-59 role of, 326-32; translating and, 330 Book of Rites (Ch'oe), 56 Bibliography and the Sociology of Texts (McKenzie), Book of Songs (al-Isfahānī), 33

book fairs, 68, 340

books: **333–38**; burning of, 55, 70, 268–69, 367; British Gramophone Company, 188 censorship and, 337, 341; China and, 16, 39, 41, British Museum Library, 567, 573 43-47, 59, 334-35, 358, 368, 437-39, 527, 541, British Propaganda at Home and in the United 586-87, 710, 784, 828-29; codex and, 39-40 States, from 1914 to 1917 (Squires), 226 (see also codex); digital, 336-37; diversity of, Brooks, Frederick, 703 335-36; impact of, 333-38; Index of Prohibited Brown, Frederic, 711 Browne, Thomas, 662 Books and, 70, 367-68; Japan and, 16, 41, 44, 46-48, 438, 504, 551, 587, 828, 830; Korea Brun, Peter, 108–9 and, 16, 42, 46-47, 334, 551, 553, 784, 828-29; Brunelleschi, Filippo, 515 libraries and, 334-42 (see also libraries); Brunet, Charles, 327 networks and, 86-87; paper and, 39-43; Brunfels, Otto, 683 papyrus and, 333–34; parchment and, 333, Brunhuber, Robert, 220–21 335; printing and, 334, 339-42, 748; readers Bruni, Leonardo, 19 and, 729-33; sales catalogs and, 339-42, Buchanan, James, 216, 785 754–58; silk and, 341; translating and, Buchwald, Jeb Z., 431 334, 338; xylography and, 334 Buckland, Michael K., 655-57, 786-88 book fairs, 68, 340 Buddhists, 5, 7, 16, 40-46, 60, 68, 334-35, 385, book sales catalogs, 339-42, 754-58 434-35, 512, 547, 553, 678, 764, 829 Booth, Charles, 797 Budé, Guillaume, 661 Bopp, Franz, 541 Bullock, William, 784 Borchert, Eric, 202 Bünting, Heinrich, 824-25 Boston Public Library, 498 bureaucracy: 343-48; connotations of, 343-44; Botero, Giovanni, 824 effects of, 343-48; Enlightenment and, 343; Kafka and, 346–47; newspapers and, 344; Botley, Paul, 563-66 Bott, Elizabeth, 620 papyrus and, 27; records and, 116-17; Weber Bouchet, Jean, 581 on, 344-46 Bouk, Dan, 724-26 Burke, Edmund, 439 Bourdieu, Pierre, 306 Burke, Peter, x, 538-44 Bourke-White, Margaret, 202 Burma, 182, 184 Bourne, Charles, 266-67 Burton, Robert, 630 Bowers, Fredson, 331 Busa, Roberto, 262-63 Bowker, Geoffrey, 383 Busbecq, Ogier Ghiselin de, 76 Boyar, Ebru, 483 Bush, Vannevar, 247, 250-52, 255, 265-70, 282-83, Boyle, Robert, 530, 639, 822 328, 639, 656 Bracciolini, Poggio, 64 Butler, Samuel, 129 Brahe, Tycho, 642 Byzantine Empire, 5, 8, 25, 27-28, 408, 459, Brand, Stewart, 279, 386, 757 Brandeis, Louis, 686, 688–89 Brass Check, The (Sinclair), 221 \*cabinets of curiosities, 76-77, 539 Braudel, Fernand, 656 Cadamosto, Alvise, 815 Braun, Georg, 825 cadasters, 108, 111, 118-20, 126 Bredow, Hans, 230-32 Cadbury, George, 198 Breidenbach, Bernhard von, 823 Cailliau, Robert, 621 Brendecke, Arndt, 470-78 Cai Lun, 334 Brethren of Purity, 35 Cairo Genizah, 335 Bretton Woods, 618 Caligula, 15 Brewer, John, 620-27 Callières, François de, 407 calligraphy, 34, 111, 539, 552-53, 584, 590, 766, Brexit, 456 Brigham, Carl C., 525 830 Bright, Timothy, 775 Callimachus, 265, 326, 492 Brilliant, Larry, 757 Callini, Benvenuto, 592 Brin, Sergey, 259-62, 265, 267-68, 271, 277, Calvin, Jean, 367, 546 279-80, 283 Cambridge Analytica, 281-82 British Colonial Office, 163 Camden, William, 76

cameras: **349–52**; Bingham and, 195; box, 689; Bush and, 247; CCTV, 645; cell phone, 745; collodion process and, 350; daguerreotypes and, 181, 349–50, 655, 792; detective, 792; development of, 349–52; digital, 351–52; Kodak and, 181, 195, 351, 656–57, 689; Leica and, 201–2, 351; movie, 656; newspapers and, 201–5; Nikon and, 206; passports and, 158; photojournalism and, 181, 190–91, 195, 202; Photostat and, 655–57; popularity of, 182, 184; privacy and, 687, 689, 691–92; role of, 349–52. *See also* photography

Cameron, James, 212
Camillo, Giulio, 320–21
Campanella, Tommaso, 322
Campano, Giovanni Antonio, 711
Campbell, Stuart, 223
Camper, Peter, 524
Candide (Voltaire), 824
Cano, Melchor, 461
Canon of Medicine (Avicenna), 362

Canterbury Tales (Chaucer), 301

Cantril, Hadley, 606 Capa, Robert, 202, 206

capitalism: accounting and, 289; commodification and, 374–75; communication and, 497; corporate management and, 169–72; credit networks and, 167–69; credit reporting and, 168–69; documents and, 154, 167–72, 414; invisible hand and, 169; managerial, 169–72; media and, 601; networks and, 91; newspapers and, 168, 172; nineteenth century and, 154, 167–72; office practices and, 169–72; postal service and, 673; printing and, 584; privacy and, 686, 691; public opinion and, 234; surveilling and, 791; technology and, 177 Cappellutti, Rolando, 362

Cardano, Girolamo, 486-87

cards: **353–57**; baseball, 746; Billings and, 243–44; business, 353; catalogs and, 394, 570; Gessner and, 353, 355; index, 83, 125, 169, 171, 173, 270, 353–56, 393–94, 429, 444, 446–47, 639, 698; libraries and, 82, 125, 171, 243–44, 276–77, 326–27, 332, 353–66, 446–47, 493, 497, 543, 567, 570, 572–78, 639, 698, 701; Moser and, 355–56; note, 353, 354; Otlet and, 265; playing, 82, 353; punched, 241, 248, 394–95, 561; role of, 353–56; trade, 353; visiting, 353

Carey, George, 402–3 Carey, James, 176–77 Carlson, Chester F., 656–57 Carolus, Johann, 136, 633 Caron, Gilles, 206 Carroll, Lewis, 494 Carson, John, 523–26 Carson, Rachel, 455 Cartari, Carlo, 314
Carthage, 5
Cartier-Bresson, Henri, 203
Casa, Bartolomé de las, 115
Casa de la Contratación, 821
Casaubon, Edward, 427

Casaubon, Isaac, 732–33

cases: **358–65**; China and, 358, 359–61; *consilia* and, 363; ethnography and, 358; Islamic world and, 362; journals and, 364; libraries and, 364–65; medical, 358–62; money and, 358; papyrus and, 361; registers and, 364; thinking in, 358–65

Cassirer, Ernst, 720
Castaing, John, 133
Castells, Manuel, 620
Castle, The (Kafka), 346–47
Castro, Juan Páez de, 115
\*catechism, 80, 816

Catholic Christendom Spread through the Whole World, 94

Catholics, 3-4; archivists and, 316; bells and, 323-25; book burning and, 367; book sales catalogs and, 341; censorship and, 366-68; ethnography and, 434–35, 438–39; Europe and, 63, 70-71, 73; forgery and, 459, 461; Index of Prohibited Books and, 70, 367–68; indulgences and, 65-66, 324, 374; Inquisition and, 105, 107, 115, 368, 439, 751; Jesuits and, 69 (see also Jesuits); Latin Mass and, 62; missionaries and, 92, 435, 541-42, 678, 822; networks and, 88, 92-94, 98; newspapers and, 219; periodicals and, 137; plagiarizing and, 660; printing and, 678; privacy and, 688; propaganda and, 221–22; Protestant Reformation and, 61, 73, 88, 92, 118, 324, 367–68, 504, 546, 556, 643, 677, 728, 751, 802, 804; public opinion and, 219, 221; records and, 118; Sacra Congregatio de Propaganda Fide and, 221–22; semaphore and, 546; Talmud and, 504; teaching and, 801; travel and, 822; Vatican Library and, 64-65, 272, 328, 459, 540

Caucasus Mountains, 16
Cawdrey, Robert, 491
Caxton, William, 494, 560, 754
CBS, 204–5
Celtis, Conard, 76
Cennini, Cennino, 591

censorship: **366–69**; appraising and, 307; Bible and, 367; book burning and, 55, 70, 268–69, 367; cards and, 356; Catholics and, 366–68; commercialization and, 130–31, 137–38, 143–46; East Asia and, 50, 53, 55–57; error and, 426, 428, 431; ethnography and, 439; Europe and, 70, 82, 84, 123–24; excerpting and, 443; free speech and, 205, 368, 378; Great Firewall of China

and, 367-68; Great War and, 222-23; historical perspective on, 366; Index of Prohibited Books and, 70, 367-68; information policy and, 503-6; Inquisition and, 105, 107, 115, 368, 439, 751-52; Jews and, 367; lists and, 581; networks and, 193, 200, 204, 623; news and, 367; newspapers and, 634; periodicals and, 130-31, 137-38, 143-46; photocopiers and, 657; plagiarizing and, 662; political reporting and, 669-70; Portugal and, 368; printing and, 70-71, 366, 662; privacy and, 692; propaganda and, 222-23; public opinion and, 215, 222-23; publishing and, 366-68; reading against the grain and, 737; registers and, 366; role of, 366-68; self, 55, 70, 137, 366, 368; Spain and, 368; Stationer's Company and, 70, 138, 143-44, 518-19, 662, 670; translating and, 367

Census Bureau, 606

censuses: compiling local information and, 164–66; databases and, 394–96; documents and, 164–66, 171, 413–14, 483; Europe and, 49, 108, 111, 117–20, 126; house numbers and, 550; identification and, 791; information policy and, 504–5; inventories and, 528; Islamic world and, 37; as management tool, 111; media and, 602, 605–6; military, 108; quantification and, 726; records and, 12–13, 37, 49, 108, 111, 117–20, 126, 164–66, 171, 242–43, 260, 394–95, 413–14, 483, 497, 504–5, 528, 541, 550, 602, 605–6, 726, 791, 793, 796–98; search and, 242–43, 260; social surveys and, 541, 796–98; surveilling and, 791, 793

Central Intelligence Agency (CIA), 223, 236, 410, 541

Centuriae curationum (Lusitanus), 364
CERN, 252–53, 621, 625
Certeau, Michel de, 544
Ceruzzi, Paul, 379
Cevolini, Alberto, 125
Chalon, Renier Hubert Ghislain, 462–63
Chambers, Ephraim, 268–69, 663
Champollion, Jean-François, 308–9
chancelleries: governance and, 63, 107–23, 125, 219, 409, 471, 474, 751, 770; records and, 107–22, 125

107–22, 125
Chandler, Raymond, 275
Chappe, Claude, 176, 806
Chardin, Jean, 96
Charles I, 652
Charles V, 112, 438
Charter of Fundamental Rights, 653
Chatterton, Thomas, 462
Chatto and Windus, 784
Chaucer, 62, 301
Cheng Ju, 40

Chia, Lucille, 677–78 Chicago Board of Trade, 177 Chicago Manual of Style, 589 Chicago Tribune, 217 Childeric, 76

China: art of memory and, 322; bells and, 323; books and, 16, 39, 41, 43-47, 59, 334-35, 358, 368, 437-39, 527, 541, 586-87, 710, 784, 828-29; cases and, 358-61; censorship and, 367-68; circulation of information in early, 38-44, 47-60; civil service exams and, 53-55; codex and, 39-40; coins and, 371, 617; Columbus and, 3, 10; computers and, 245; diplomats/spies and, 407; documents and, 155-57, 414-15, 484; ethnography and, 434-39; First Opium War and, 585; globalization and, 467-69; governance and, 470, 472, 474-78; Great Firewall and, 367-68; Great Wall of, 43; Han Dynasty and, 4, 6, 334, 360, 678; Heian period and, 551; indexing and, 494-95; information policy and, 503-7; inscriptions and, 512-13; inventories and, 527; Islamic world and, 60; Jesuits and, 52, 92-94, 322, 454, 541-42, 801; letterpress and, 560; letters and, 551; lithography and, 585–88; logographic system of, 759; manuals and, 592; Mendoza on, 94; merchants and, 59, 615; migrants and, 155-57; Ming dynasty and, 39, 47, 56, 359-60, 829; money and, 616-18; networks and, 92-94, 194, 198-200, 206-7, 245, 625; newspapers and, 633; numerical codes and, 245; paper and, 4, 16, 38, 40, 49, 157, 196, 198–200, 334-35, 371, 436, 560, 592, 617, 678, 748, 828; periodicals and, 149; photography and, 184-85; platforms and, 666; postal service and, 673; printed visuals and, 678; printing and, 16, 38, 40-44, 52, 198, 439, 504, 542, 560, 585-88, 618, 678, 828-30; professors and, 694; proofreaders and, 710; Qin Dynasty and, 4, 472, 504; Qing dynasty and, 44, 46, 49, 51, 55, 179–80, 184, 359, 475, 653, 750, 829; Republican period and, 360-61; reunited, 710; road system of, 10; Rome and, 10-11; scribes and, 759; scrolls and, 764, 766; search and, 271; Shang dynasty and, 38, 470; silk and, 4-10, 334; Sinitic culture and, 38, 43-45, 52, 60, 829; social media and, 778, 782; Song dynasty and, 16, 38, 40, 42-43, 53, 359-60, 414, 503-4, 678, 829; spice trade and, 3-4, 6, 541; stereotype printing and, 784; Taiping rebellion and, 477; Tang dynasty and, 7, 16, 39-40, 47, 53, 335, 359, 800; teaching and, 801; technology and, 179-82, 188, 383; telegraphs and, 179-81; telephones and, 188-89; travel and, 821; Western Zhou and, 470; woodblock printing and, 16, 40-41, 335, 503, 542, 586, 678, 828-29; Yuan dynasty and, 41, 434, 678

China monumentis qua sacris qua profanis nec non variis naturae et artis spectaculis aliarumque rerum memorabilium argumentis illustrata (Kircher), 52, 541, 543 China Telegraph Company, 180 Cho, Hwisang, 551–54 Choe, Malli, 44 Ch'oe Sŏkchŏng, 56 Choice Reports (Ibn Qutayba), 25-26 Chongqing, 49 Chosŏn dynasty, 41, 44, 45, 48-9, 51-56 Chouët, Jean Antoine, 340 Christians: art of memory and, 319, 321; Asia and, 49, 52, 56, 549; bells and, 324; bibliography and, 330-31; Bible and, 15, 54, 63, 65-67, 69, 74, 246, 263–64, 330–31, 333, 335, 367, 417, 428, 442, 492–93, 556–57, 573, 656, 673, 679, 730–31, 759, 772–73, 783; Buddhists and, 7; censorship and, 367; codex and, 330, 333, 438, 764, 766; commodification and, 374; ethnography and, 435, 437-39; Europe and, 62; Eusebius and, 15; forgery and, 458-60; horoscopes and, 486; inscriptions and, 512; intellectual property and, 514; inventories and, 527; Islamic world and, 3, 18, 22, 28; knowledge and, 264, 542; landscapes and, 546; Nestorius and, 7; networks and, 92, 98, 100-2; paper and, 18-19, 62, 333, 458, 549; Persia and, 7; plagiarizing and, 660; Pliny on, 14; proofreaders and, 709-10; Protestant Reformation and, 61, 73, 88, 92, 118, 324, 367-68, 504, 546, 556, 643, 677, 728, 751, 802, 804; readers and, 729, 731; records and, 110; Sabbath and, 458, 775; scribes and, 759-60; scrolls and, 764; search and, 264; sermons and, 773, 775; teaching and, 802-3; travel and, 822, 826 Christina of Sweden, 826 Christoffels, Yan Ympyn de, 289-90 Chungjong, 47 Chữ Nôm script, 45-46 Chun, Hŏ, 52 Chunyu Yi, 360 Cicero, 12, 64, 318-19, 470, 472, 563-64, 636-37, 641, 709, 719, 765, 768, 775 cinematograph, 186 ciphers, 335, 417-23, 508, 510, 534, 536-37, 604, 759 Cisneros, Evangelina, 190 cities: **545–50**; ancient, 4–9, 13, 508; algorithms and, 301; bells and, 324-25; books and, 334;

coins and, 372; East Asia and, 44; ethnography

and, 433; Europe and, 62, 68, 76, 107, 109, 117,

120; governance and, 471, 475, 477; government

documents and, 479-84; horoscopes and, 488

Islamic world and, 25, 29, 31-2, 34; landscapes and, 545-50; learning and, 557; maps and, 595; merchants and, 613, 630; networks and, 89–90, 199; newsletters and, 630-31; periodicals and, 130, 132, 136–37, 144–47; political reporting and, 670; postal service and, 674–75; printing and, 68, 585; privacy and, 688; public opinion and, 219; quantification and, 724; registers and, 751; religious censorship and, 366; surveilling and, 791; technologies and, 179, 187, 806, 810-11; travel and, 821, 825; urban environments and, 24, 41, 70, 73, 97, 107-8, 128, 131–35, 177, 186, 199, 217–19, 313, 413–14, 455, 466, 468, 480-83, 500, 505, 545, 549, 567, 616, 660, 675, 715, 751, 773, 776, 791, 797 citizenship, 118, 153, 157, 480, 498, 790, 794 City of the Sun (Campanella), 322 Civitates orbis terrarum (Braun and Hogenberg), 825 Claesz, Cornelis, 340 Clanchy, Michael, 62, 479 Clarendon Press, 785 Clark, Frederic, 728-33 Classical Chinese, 43 Classification of the Sciences and the Classification of Happiness, The ('Ali Miskawayh), 26 Claudian, 732 Cleopatra, 329, 462 Clifford, Anne, 313 Clossey, Luke, 92–94 Clowes, William, 784 Coase, Ronald, 612 Cobbett, William, 670-71 COBOL, 247, 705 \*codex: albums and, 293-94; Bible and, 74, 333; bibliography and, 327, 330-31; China and, 39-40; Christians and, 330, 333, 438, 764, 766; computers and, 764; documents and, 480-81; efficiency of, 73; ethnography and, 438; Europe and, 73; Florentine Codex, 438, 816-17; lists and, 579; notebooks and, 636; production of, 333, 335–36; proofreaders and, 710; reference books and, 748; registers and, 750; scrolls and, 764, 766–67; search and, 283, 786; storage and, 786; translating and, 816-17 Codex Sinaiticus, 335 Cody, Francis, 653 Cohen, Deborah, 688 coins, 5, 370-73; Bitcoin, viii, 423, 619; Bretton Woods and, 618; China and, 617; Europe and, 76; fractional circulation of, 619; governance and, 414; inscriptions and, 371, 508; issuing authority and, 370-71; jetons and, 371-72; minting of,

592; networks and, 99–100; observing and, 643;

Parthia and, 6; sesterii and, 372; silver, 6, 616; striking, 617; use of, 370-73 Colbert, Jean-Baptiste, 57, 94, 100, 117, 290, 503-4 Cold War, 200-1, 206, 228, 248, 266, 410-11, 455-56, 464, 499, 506, 531, 725 Coleman, Kathleen, 14 Coleridge, Samuel Taylor, 430, 462 Collected Institutions of the Ming, The, 56–58 Collier's, 196 Collins, Harry, 708 Colón, Hernando, 571-72, 575 colonialism: bibliography and, 327, 330-31; bureaucracy and, 347; documents and, 160-64, 167, 172, 413, 415, 482; ethnography and, 438-40; Europe and, 61, 149, 160–64, 167, 172, 182, 186, 192, 220, 438, 547; governance and, 475-76, 734, 737; information policy and, 505; inventories and, 527; khipu and, 536; lithography and, 584; Mexico and, 87; networks and, 91, 192, 196, 205, 220; newspapers and, 634; printed visuals and, 679; privacy and, 687, 690; records and, 116; slavery and, 426; surveilling and, 791-92; technologies and, 177-78, 182, 186, 192; trade and, 140; translating and, 814, 818; travel and, 823, 826; Venezuela and, 149; violence and, 547, 814. See also imperialism Columbus, Christopher, vii–viii, 3–4, 9–10, 19, 437-38, 571, 643, 814-16 Comenius, Jan Amos, 77, 322, 398-99, 543, 803 Comey, James B., 610 commercialization: advertising and, 143-44 (see also advertising); Asia and, 40-46; censor-

ship and, 130-31, 137-38, 143-46; computers and, 212, 381; criticism of, 235; Dutch East India Company and, 89-90, 290, 476, 482, 541, 614; Europe and, 62; forecasting and, 452-54; free software and, 704-5; Google and, 259-60; governance and, 476; informing revolution and, 148-50; journals and, 128-32, 139-50; limiting, 228; merchants and, 129, 132-40, 145; networks and, 144-47, 621; news and, 129-32, 135-49; newspapers and, 128-32, 135-50; periodicals and, 128-50, 375; publicity and, 131-35; recorded sound and, 296, 742-43; sales catalogs and, 754-58; telecommunications and, 808; trading companies and, 89-90, 290, 476, 482, 541, 614; urban health and, 131–35; vernacularization and, 43-46

commenda trade system, 90–91 Committee on Public Information (CPI), 222, 226, 500

commodification, 52, 123–24, **374–78**, 548, 720 \*commonplace books, 30, 81, 124, 442, 636–38

communication: advertising and, 216-19, 222, 230-33, 255; artificial intelligence (AI) and, 247, 358, 380, 537, 626, 707; bells and, 323–25; capitalism and, 497; change and, 238-40; commercialization and, 808; computers and, 238-57; continuity and, 238-40; diplomats/ spies and, 407-12; Europe and, 83-84; FCC and, 250; feedback and, 111, 246, 271, 382-86, 606, 709, 718, 721-22, 769, 798, 804; fiber optics and, 178, 624, 724, 810-11; interpreters and, 90, 434-36, 625, 814-15; journals and, 392; lectures and, 695-97; letters and, 83-84; libraries and, 243–45, 252; Mathematical Theory of Communication and, 403-4; newspapers and, 252; office practices and, 170; postal service and, 83-85, 673-76 (see also postal service); printing and, 238, 240; radio and, 244, 807; Shannon and, 245–56, 403–4; stretching information and, 254–57; submarine cables and, 177-78, 213-16, 505, 808; surveilling and, 792; telegraphs and, 177, 212, 241-45, 250, 254, 806-12; telephones and, 241, 244-47, 251, 808-12; translating and, 241, 250 Complete Concordance to the Holy Scriptures (Cruden), 264 Complete Library of the Four Treasuries, 55-56 Computer Lib/Dream Machines (Nelson), 261 computers, 379-81, 640; abacus and, 239; accounting and, 292; algorithms and, 299-301; Analytical Engine and, 240-41, 246, 265; Antikythera device and, 239; archivists and, 315, 317; ARPA and, 250-53, 273, 620; artificial intelligence (AI) and, 247, 358, 380, 537, 626, 707; Babbage and, 240–50, 254, 265, 380, 431; Bush and, 247, 255, 265, 270, 283, 328, 639, 656; cases and, 365; CERN and, 252-53; China and, 245; codex and, 764; commercialization and, 212; communication and, 238-57, 811; cybernetics and, 384-85; data and, 387-88; databases and, 392-95, 687, 689-93; Difference Engine and, 240-41, 265; encryption and, 417, 419, 422; ENIAC, 247, 266; feedback and, 246, 382–86; files and, 449–51; functions of, 379–81; globalization and, 465-68; hackers and, 208, 337, 412, 780; Hartley and, 245-51, 254-56, 725; Hollerith and, 171, 242-44, 250, 260, 266, 394, 446; intellectual property and, 517; Jobs and, 228, 238, 240; layering and, 250-52; learning and, 558; libraries and, 244-45, 252, 270, 275-77, 336, 465, 577-78, 758; Lovelace and, 241, 243, 246, 265, 380, 662; machine learning and, 271, 386, 388, 404, 556, 707-8; MARC and, 577-78; memex and, 247, 266, 270,

282, 328, 639, 656; MINITEL and, 251–53;

computers (continued) Coster, Janszoon, 67 money and, 619; networks and, 621, 624, 757-58; Council of Constance, 63 newspapers and, 381; office practices and, Counter-Reformation, 65, 70, 73, 92, 367, 548 648-51; plagiarizing and, 664; portable, 337; Course of the Exchange (Castaing), 133 printing and, 657, 761, 767; privacy and, 687, Court, Pieter da la, 290 689–93; programming and, 703–8; punched Cowan, Brian, 713-17 cards and, 241-42, 248, 394-95, 561; quantificredit reporting, 168-69 cation and, 726; recording and, 744-45; scrolling Creel, George, 222, 226 and, 764; search and, 261-66, 270, 272, 275-79; Crinito, Pietro, 461 secretaries and, 769; Silicon Valley and, 238, 252, Crisis (NAACP), 200 298, 386, 411, 503, 605, 780; SMTP standard Critique of Modern Textual Criticism, A (McGann), 331 and, 251; social media and, 778–80; spies and, Croly, David, 463 411-12; storage and, 787-88; stretching informa-Cromberger, Juan, 69 tion and, 254-57; surveilling and, 790; switch-Cromwell, Oliver, 138, 419 ing and, 247–50; TCP/IP standards and, 250, Cronkite, Walter, 743 252; teaching and, 804-5; telephones and, 381; Crooks, Peter, 343-48 as vocation, 379; Wiener and, 246-47, 255, Cros, Charles, 739-40 382–85; World Wide Web and, 244, 252, 254, Cruden, Alexander, 264 259, 261-62, 328, 338, 550, 619, 621, 779 cryptocurrencies, 423 Computing-Tabulating-Recording Company, 242 \*cryptography, 309, 409, 418-23, 692, 705 Comte, Auguste, 541 Crystallizing Public Opinion (Bernays), 224 \*concordances: Bible and, 63, 263-64, 492-93, 746, Ctesias, 10 748; error and, 428; Europe and, 63; indexing Cuba, 3, 9, 149, 190, 196-97, 200-1, 410 and, 492-93; records and, 107; reference books Culpepper, Nicholas, 591 and, 746, 748; St. Jacques Concordance, 493; Cultures of Knowledge project, 95 search and, 263-64, 283 Cunningham, Ward, 707 Confessions (Augustine), 730-31 Curie, Marie, 400 Confucianism, 41, 44-47, 53-55, 60, 335, 472-73, Curtis Publishing Company, 605 504, 552, 678, 710, 800 Cutter, Charles, 328, 576 Congregation for the Propagation of the Faith, 222 Cuvier, Georges, 625, 645 Conrad, Joseph, 292 cybernetics: 382-86; artificial intelligence (AI) Conring, Hermann, 700 and, 247, 358, 380, 537, 626, 707; feedback and, Constantine, Emperor, 15, 459 382-86; Gibson on, 385-86; governance and, Constantine the African, 8 382-86; machine learning and, 271, 386, 388, Constantinople, 6, 11 404, 556, 707-8; Macy Conferences and, 383-85; contact prints, 656 programs of, 385-86; schismogenesis and, Contax, 202 382-83, 386; Shannon and, 385; Wiener and, Conti, Noccolò dé, 437 246-47, 255, 382-85 Conti, Vincenzo, 18 cyberspace, 256, 385 Control Revolution (Beniger), 243 Dadaism, 446 Converse, Jean, 797 Cooke, William, 176, 807 Dagongbao, 199 cookies, 757-58 daguerreotypes, 181, 349-50, 655, 792 Cooley, Charles H., 211-12, 603-4 Daily Mail, 193, 217, 671 Coppe, Abiezer, 767 Daily Telegraph, 193, 671 copyists, 27, 571, 709-10, 719, 829 D'Alembert, Jean le Rond, 141, 267-69, 398. \*copyright: Google Books and, 274-75, 337; See also Enyclopédie intellectual property and, 144, 189, 274-75, 337, Damascus, 7, 17, 22, 25, 29, 33, 483, 803 375-77, 431, 514, 518-20, 542, 604, 662, 721, Dancer, John Benjamin, 655 741, 743, 784; musical, 189; periodicals and, 144 Dans, Johann Andreas, 696-97 Copyright Act, 520, 662 Dante, 62, 264, 319, 462 Cordell, Ryan, 404 Da Qin, 10-11

Darnton, Robert, 238, 274, 424, 504

Darwin, Charles, 292, 636, 638, 645

Corrupt Practices Act, 671

Cortés, Ferdinand, 439

Daston, Lorraine, 305, 430, 641-46 Dialectic of Enlightenment (Adorno and Horkdata: 387-91; computers and, 387-88; error and, heimer), 234 Diamond, Jared, 544 425–26; Google and, 387–91; libraries and, 569-72; linguistics of, 387-91; Ngram Viewer Diamond Sutra, 16, 40 and, 276, 387, 390; public sphere and, 713-17; diasporas, 89-91, 198, 463, 802-3 storage and, 785–88 Dibdin, Thomas Frognall, 330, 341 databases: 392-96; census and, 394-96; comput-Dickens, Charles, 292, 671 ers and, 392-95, 687, 689-93; development/use Dictaphones, 648, 768, 770 of, 392-96; IBM and, 394; journals and, 392; Dictionary (Elyot), 661 libraries and, 394 (see also libraries); manage-Dictionary (Johnson), 144 ment systems for, 395; OPACs and, 394; papyrus Diderot, Denis, 141, 267-70, 273, 283, 626, 817. and, 393; recontextualization and, 304, 392 See also Encyclopédie Didot, Firmin, 783 Dati, Leonardo, 417-18 Datini, Francesco, 611–12 Diels, Hermann, 575 Davies, Donald, 248-52 Difference Engine, 240-41, 265 Davies, Nick, 208 digital currency, viii, 423, 619 Davies, Surekha, 594-600 Digital Public Library of America (DPLA), 274 digitization: 402-6; binary digits and, 406; history da Vinci, Leonardo, 636 Davis, Elmer, 227 of, 402-6; information loss and, 403-4; Internet Davis, Natalie Zemon, 654 Archive and, 406; Mathematical Theory of Com-Dawn for the Night-Blind: On the Craft of Chancery munication and, 403-4; music and, 404-5; news-Writing (al-Qalqashandī), 31 papers and, 402; OCR and, 404–5; proofreaders Day, Angel, 628 and, 711-12; quantification and, 402-6, 724-26; Daybell, James, 554 reproducibility and, 403; resolution and, 403; Dead Sea Scrolls, 262, 335, 764 Scandex and, 402; Shannon and, 403-4; De Archivis (Bonifacio), 105 telegraphs and, 402; telephones and, 402; Decline and Fall of the Roman Empire (Gibbon), 79 translating and, 402-5, 542 decrypting. See encrypting DigiVatLib, 272 Dee, John, 733 Dijkstra, Edsger, 703-4, 708 Defoe, Daniel, 291, 608 Diogenes, 563, 719 de León, Pedro de Dieza, 436-37 Dioscorides, 28, 76, 78 diplomats/spies: 407-12; China and, 407; CIA De Re Diplomatica (Mabillon), 329 derivative procedures, 657 and, 223, 236, 410; communication and, 407-12; Derolez, Albert, 329 computers and, 411–12; cybersecurity and, 411; Derrida, Jacques, 330 dissemination of knowledge and, 541-42; Derveni papyrus, 765 encrypting/decrypting and, 419; hackers and, Descartes, René, 541, 728 337, 412, 780; HUMINT and, 403, 407, 411; Desiderius, Abbot, 710 IMINT and, 410; Japan and, 410; Mari tablets Deutsche Press-Agentur (dpa), 220 and, 408; networks and, 407-12; OSINT and, Deutsches Wörterbuch (Grimm and Grimm), 557 412; Persians and, 407; phone taps and, 410, Deutsche Telekom, 281 687; Romans and, 408; secrecy and, 407-12; Sforza and, 408-9; SIGINT and, 403, 407, 411; De Weerdt, Hilde, 504 telegraphs and, 409-10; telephones and, 410; Dewey, John, 224-25, 500 Dewey, Melvil, 244, 327, 541, 543, 576, 639, 787-88 U-2 flyovers and, 410; World Trade Center/ Pentagon attacks and, 411 Diagoras, 719 diagrams: 391-401; Asia and, 53; digitization Dirks, Nicholas, 164 and, 404; encrypting and, 404; Europe and, "Discards" (Baker), 276 78, 80; historical perspective on, 397-401; Discipline and Punish (Foucault), 359 horoscopes and, 486; Islamic world and, 37; Discos Nacional, 188 lithography and, 584-85; manuals and, 592-94; disinformation. See information maps and, 598; N-diagrams and, 620; pantographs Distinction: A Social Critique of the Judgement of and, 655; public space and, 218; Ramus and, Taste (Bourdieu), 306 320; sermons and, 773-75; Shannon and, 254; Distributed Art Publishers, 754 teaching and, 804; use of, 397-401 ditto machines, 648, 657

Divina Commedia (Dante), 319 Diyāb, Hanna, 100-1 DNA, 261, 523, 526, 793 documents: accounting and, 170, 612; archivists and, 110 (see also archivists); authority of, 162, **413–16**; censuses and, 164–66, 171, 413–14, 483; China and, 155-57; citizenship and, 153, 157, 480; codex and, 480-81; colonialism and, 160-64, 167, 172, 413, 415, 482; corporate management and, 169–72; ethnography and, 166-67; files and, 449-51; global mobility and, 154-59; governance and, 159-67, 479-84; imperialsim and, 159-60, 166, 414-15; indexing and, 156; Japan and, 159, 414, 483; Jews and, 166; libraries and, 171; merchants and, 155–57, 169, 413, 481, 483, 611-15; money and, 154, 157, 167, 169; newspapers and, 415; nineteenth century and, 152-73; office practices and, 169-72, 649-51; Ottomans and, 159, 165-66; passports and, 152-54, 157-59, 165, 173, 413, 791; Portugal and, 159; records and, 107 (see also records); registers and, 12 (see also registers); scribes and, 759-63; seals and, 828-29; Spain and, 159; trading companies and, 90; translating and, 166; typewriters and, 171, 251, 381, 567, 609, 647-48, 651, 655, 722, 756, 768 Dolet, Etienne, 71 Domesday Book, 116-17, 476, 479, 504, 748, 796 Domitian, 709 Donaldson v. Beckett, 519 Donatus, 65 Donne, John, 637 Dooley, Brendan, 130 Dordrecht Latin School, 289-90 Douglas, John, 663 Douglass, Frederick, 426 Dover, Paul M., 407-12 Draft Report on the EDVAC (von Neumann), 380 Drexel, Jeremias, 443 Dropbox, 649 Drucker, Johanna, 326-32 Dublin society, 97 Du Bois, W.E.B., 218, 797 Duguid, Paul, vii-xi, 238-57, 725 Duncan, Dennis, 491-95 Dunhuang, 7, 16, 335 Dunton, John, 140 Duplantin, J.P.F., 148 Durand, Guillaume, 764 Dürer, Albrecht, 592, 679 Dutch East India, 89-90, 290, 476, 482, 541, 614 Dutch West India Company, 137 Duterte, Rodrigo, 781 Duties of All Government Offices (Zhusi zhizhang), 57 dynamic collections, 787

East Asia: archivists and, 53; Bible and, 54; books and, 39-43; censorship and, 50, 53, 55-57; Christians and, 49, 52, 56, 549; civil service exams and, 53-55; codex and, 39, 49; commercialism and, 40-46, 52; control of information and, 53-59; diagrams and, 53; disseminating information and, 38-46; Dutch East India Company and, 89-90, 290, 476, 482, 541, 614; imperialism and, 38, 40, 43-46, 50, 54-55, 57, 59; indexing and, 58; Jesuits and, 52; Jews and, 7; libraries and, 44-56; literacy and, 44, 59; maps and, 41, 50, 52, 59; news and, 41, 52, 58-59; newspapers and, 58; Portugal and, 56; printing and, 38-45, 48, 52, 59; registers and, 48-49, 57-58; sorting information and, 49-53; standardized education and, 53-55; storing information and, 46-49; translating and, 42, 44-45, 48, 50–54, 59; vernacularization and, 43–46; xylography and, 40-41. See also specific country Eastern Extension A&C Telegraph Company, 179-80, 198 eBay, 298 Ecclesiastes, Bible book of, 75, 427 Eco, Umberto, 444, 580 École Nationale des Chartes, 314 École Supérieure de Journalisme, 201 Economist magazine, 200, 411 Eddy, Matthew Daniel, 397-401 Edison, Thomas, 186, 201, 739-42, 745, 807-8 Edo and Edo period, 48, 55, 678 Educated (Westover), 558–59 Edward III, 517 Ee, Adrian vander, 313 Eggers, Dave, 691 Egypt, 7-9; Anglo-Egyptian War of 1882 and, 178; archaeological decipherment and, 308-9, 311; Coptic texts and, 766; documents and, 165–66; Euclid and, 397; Fatimid, 527; fourteenth-century, 29–34; grain of, 12; grave goods of, 334; Hellenistic, 765; Hermes Trismegitus and, 460; hieroglyphs and, 322, 510; horoscopes and, 486; Horus and, 308; inscriptions and, 508; inventories and, 527; Islamic world and, 22, 29-35; lithography and, 585-87; Mamluks and, 22, 29-35; maps and, 599, 822; money and, 616; Napoleon and, 585; networks and, 89, 100, 674; note taking and, 636; obelisks of, 76; papyrus preservation and, 563; postal service and, 674; reference books and, 746; Romans and, 11; Rosetta Stone and, 308-9; scribes and, 759; social media and, 781; Socrates and, 265; travel and, 822–23; Western science and, 803; writing and, 759, 764-65 Ehrlich, Paul, 455 Eisenhower, Milton, 227 Eisenstadt, Peter, 454

Eisenstein, Elizabeth, 417, 580 Electronic Freedom Foundation (EFF), 691 Electronic Privacy Information Center (EPIC), 691 electrostatic copying, 656-57 Elements (Euclid), 397 Elias, Norbert, 690 Ellison, Nicole, 777 Ellsberg, Daniel, 207–8 Ellsworth, James E., 219 Elsevier, 533 Elyot, Thomas, 661 Elzevier, Daniel, 341 Emerson, Ralph Waldo, 496 Emile (Rousseau), 558 encrypting/decrypting: Alberti and, 417–23; archaeological decipherment and, 308-11; ciphers and, 335, 417-23, 508, 510, 534, 536-37, 604, 759; computers and, 417, 419, 422; cryptography and, 309, 409, 418-23, 692, 705; data and, 417-18; Enigma and, 246, 417-18, 422; Friedman and, 422; Gutenberg and, 417, 421; inscriptions and, 508, 510; khipus and, 534, 536-37; money and, 423; Morse code and, 176, 179–80, 245, 250, 740, 807; permutations and, 419; petroglyphs and, 335; polyalphabetic substitution and, 418-19; printing and, 417–21; Shannon and, 246; spies and, 419; statistical methods and, 418; steganography and, 420-22; Turing and, 246, 464; Zimmerman and, 215-16 Encyclopedia (Alsted), 81 Encyclopédie (Diderot and D'Alembert), 141, 268-70, 273, 397-98, 543 Engelbart, Douglas, 249, 252, 256, 266-68 Engelsing, Rolf, 146 English Bill of Rights, 653 English Civil War, 138 English East India Company, 91, 614 ENIAC, 247, 266, 705 Enigma, 246, 417-18, 422 Enlightenment: age of information and, 257; bureaucracy and, 343; data and, 390; diagrams and, 397-99; ethnography and, 433; forgery and, 458; French, 83, 749; learning and, 556; networks and, 626; observing and, 645; periodicals and, 131, 141-42, 148, 150; political reporting and, 669; public opinion and, 211-12, 225, 234, 236; public sphere and, 714-17; science and, 35, 118, 273, 433, 645, 690, 693; search and, 270, 273; surveilling and, 794 Ennen, Leonard, 316 Ensmenger, Nathan, 705 ephemera, 66, 69, 584, 755, 828 Ephesus, 7 Epic of Gilgamesh, 311, 759

Epicurean ethics, 719 Epidemics (Hippocrates), 362 Epistles of the Sincere Brethren, The (collection), 26, 35 Erasmus, 72, 80, 83-84, 320, 429, 442, 459, 625, 636–37, 660–61, 770 error: areas of, 424-32; bias, 425; censorship and, 426, 428, 431; concordances and, 428; data and, 425-26; gravity of, 427-28; Jews and, 428, 430; learning and, 427; newspapers and, 427; prevention of, 424, 431; printing and, 424, 428; proofreading and, 67, 424, 428-29, 709-12, 829; translating and, 428, 431 Erwin, George, 182 Esperanto, 405 Essay on the Principle of Population (Malthus), 292 Essay toward a Real Character and a Philosophical Language (Wilkins), 175 Estienne, Robert, 340 ethnography: 433-40; cases and, 358; Catholics and, 434-35, 438-39; censorship and, 439; China and, 434-39; Christians and, 435, 437-39; cultural traditions and, 433-40; diversity and, 433, 435-36; documents and, 166-67; Enlightenment and, 433; Europe and, 433-34, 436-39; Florentine Codex and, 438; Islamic world and, 433–34, 436; Japan and, 438, 440; Jesuits and, 440; libraries and, 439; maps and, 439; merchants and, 434, 437, 439; money and, 436; Ottomans and, 439; Persia and, 435, 438; Polo and, 436; Portugal and, 435-39; printing and, 437, 439; translating and, 434, 437-38 Etruscans, 310, 460-61 Euclid, 397-98 Euhemerus, 461 Eumenes the Second, 329 Euphrates river, 22 Europe: accounting and, 68; Bible and, 63, 65-67, 69, 74; cases and, 361–65; Catholics and, 63, 70-71, 73; censorship and, 70, 82, 84, 123-24; censuses and, 49, 108, 111, 117-20, 126; chancelleries and, 107-22; Christians and, 62; codex and, 73; coins and, 76; colonialism and, 61, 149, 160-64, 167, 172, 182, 186, 192, 220, 438, 547; commercialism and, 62; communication and, 83-84; concordances and, 63; context of fifteenth century and, 61-65; continuities and, 106-7; Counter-Reformation and, 65, 70; diagrams and, 78, 80; early modern, 61–84; ethnography and, 436-39; formal information frameworks and, 105-6; imperialism and, 69, 89, 91, 109; indexing and, 80-83, 107, 111-12, 114, 116, 639; information explosion in, 76-83; Islamic world and, 87-88, 95-96, 98-102; Jesuits and, 69; Jews and, 69; libraries and,

Europe (continued) 64-65, 81-82, 115, 125; literacy and, 62, 73, 108; merchants and, 72, 76, 83–84, 106, 121; networks and, 98-102; news and, 61, 66, 68, 71; papyrus and, 74, 78; parchment and, 62–66, 78, 106–7, 109, 111, 117, 122; printed visuals and, 678-79; printing and, 61-78, 82-84, 327; proofreaders and, 710; Protestant Reformation and, 61, 73, 88, 92, 118, 324, 367-68, 504, 546, 556, 643, 677, 728, 751, 802, 804; records and, 104-26; Reformation and, 61; Renaissance and, 61-62, 78, 80; secretaries and, 72, 77; sites of change and, 106–7; translating and, 62, 72, 77–78, 124; typography and, 65-71; xylography and, 19, 67, 69 European Union, 653, 781 Eusebius, 15, 330, 760 Evelyn, John, 304 excerpting: 441-48; Bible and, 442; censorship and, 443; commonplacing and, 441-47; concept of, 441-43; guides of, 445; journalism and, 446-47; newspapers and, 446; practices for, 444-47; rules of art of, 443-44; storage and, exchange rates, 9, 99, 132, 230, 629, 825 Faas, Horst, 190 Facebook, 272, 280-81, 296-99, 376, 501, 605, 653, 691, 777-82

facet analysis, 788 Fahmy, Khaled, 165–66 fair use, 274, 377 fake news, 225, 258, 463, 498-500 Fan Qin, 47 Faraday, Michael, 638 Farge, Arlette, 734 fascism, 200, 206, 221, 231-32, 234, 506 fax machines, 648, 656, 807-8 Fayer, Joan M., 815 F. Beato Ltd., 182 feedback: communication and, 111, 246, 271, 382-86, 606, 709, 718, 721-22, 769, 798, 804; computers and, 382-86; cybernetics and, 382-86; teaching and, 804 Fei Hsin, 434 Feingold, Mordechai, 431

Feldman, Ilana, 449
Ferdinand, King of Spain, 815
Fertile Crescent, 4
Feyerabend, Sigmund, 295
fiber optics, 178, 624, 724, 810–11
Fidentius, 659–60, 662
Fiery Flying Rolls, 767
files, 449–51, 785–88

Fingal (Macpherson), 461 fingerprinting, 660, 662, 664 Finn, Ed, 298 First Opium War, 585 Fisher, Alexander J., 323-25 Fisher, Irving, 454, 618 Fitzgerald, Devin, 38-60 Fitzroy, Robert, 453 Flaherty, David, 687, 690 Flint, Kate, 685 Florensky, Pavel, 544 Florentine Codex, 438 florilegia, 81 Flow, Christian, 694-701 folklore, 539, 545, 823 Ford, Ford Madox, 220 Ford, Henry, 463, 663 forecasting: 452-57; advertising and, 464; as cultural practice, 452-56; future and, 455-56; telegraphs and, 452-53; telephones and, 452; weather, 170, 453-56 Foreign Affairs journal, 226 forgery: 458-63; Christians and, 458-61; detecting, 458–63; Enlightenment and, 458; fantasy and, 458, 462; Jews and, 460, 463; libraries and, 459, 462; papyrus and, 15, 463; parchment and, 459, 462; Persia and, 460; translating and, 460; travel and, 461-62 Forman, Harry, 462 Forrester, John, 358 Fortune magazine, 202, 239 Foucault, Michel, 359, 728 Fourth Eclogue, 729 Fox, George, 305 France, Anatole, 429 Francke Foundation, 77 Francke, Hermann August, 775 Frank, Lawrence K., 383-85 Frankfurt school, 221, 233-35 Franklin, Benjamin, 634, 657 Frederick II, 18, 122 Frederick the Great, 147, 804 Frederik V, 822 Freedom of Information Act (FOIA), 368, 506-7 free speech, 205, 368, 378 Freise, Heidrun, 699 Fremont-Smith, Frank, 383-84 French Enlightenment, 83, 749 French National Convention, 806 French Revolution, 148-50, 158, 314, 341, 344, 581, 617, 633, 670, 715, 790, 806

Freud, Sigmund, 224

Friedman, Milton, 618

Friedman, William F., 422

Friedrich, Markus, 312-17 Ghobrial, John-Paul A., 86-103 Friendster, 780 Gibbon, Edward, 79 Gibler, George de, 462 Frisius, Gemma, 363 Froben, Johann, 68 Gibson, Jonathan, 553 Fróis, Luís, 440 Gibson, William, 385-86 Frow, John, 374-78 Gilded Age, 195, 686 Fuchs, Leonhard, 79-80, 683 Gilles, Pierre, 76 Gillespie, Tarleton, 280, 302, 665-68 Fugger, Octavian Secundus, 136 Fugger, Philipp Eduard, 136 Gismo, 402 Fuller, Buckminster, 756 Gitelman, Lisa, 174-89 Fuller, Matthew, 705-6 Giunti family, 68 Gladwell, Malcolm, 622 Fust, Johann, 68 Gahō, Hayashi, 59 Galen, 28, 518 Galileo, 642 Galison, Peter, 430, 699, 701 Galland, Antoine, 100–1 Gallup, Elizabeth Wells, 422 Gallup, George, 228 Galton, Francis, 524 Gandhi, Mahatma, 197-98, 213 Garfield, Eugene, 261 Gmail, 280 Garnham, Nicholas, 376 Gnosticism, 26 Gaskell, Philip, 331 Godley, Thomas, 327 Gaul, 5, 11 Gaultier, Léonard, 680–83 Gazetteer of the Translation Bureau, 41 gazetteers, 50-51, 59, 164-66, 173, 520, 747 Goffman, Erving, 693 Ged, William, 783 Goitein, S. D., 88–89 Gellius, Aulus, 80, 825 Goldberg, Adele, 247 General History of the Things of New Spain Goldberg, Emanuel, 656 (Sahagún), 817 Golius, Jacobus, 826 Gengendō Studio, 585 Genghis Khan, 36 Gombrich, Ernst, 677 Gentleman's Magazine, 140, 145, 663 Goodreads, 337 Geoffrey of Monmouth, 459 Goody, Jack, 528 Geography (Ptolemy), 14, 598-99, 643, 748, 820 geometry, 28, 288, 397-98, 802 George, David Lloyd, 222 George Bell and Sons, 784 Germania newspaper, 219 German Secret Service, 223 Gerson, Jean, 63-64 Gerstl, Adam, 93 Gesner, Johann Matthias, 696 Gessner, Conrad: Bibliotheca universalis and, 81, 327, 393, 443, 557, 572, 638-39, 733; natural

globalization: 464-69; China and, 467-69; Columbus and, vii-viii; commercial, vii-viii, 3–10, 16, 61, 464–68, 611; computers and, 465–68; cultural effects of, 464-68; digital divide and, 465; ICTs and, 465-68; institutional, 4; knowledge and, 543; lithography and, 584; networks and, 87-89, 103, 191; news and, 190-209, 780-81; radio and, 464; Silk road and, viii, 3-10, 16, 611; teaching and, 805; technology and, 179, 387; telegraphs and, 179 Goebbels, Joseph, 202, 224-27 Goeing, Anja-Silvia, 304–7, 555–59, 800–5 Goethe, Johann Wolfgang von, 714 Gómara, Francisco López de, 439 Google: algorithms and, 259-61, 271, 275, 278, 280–83, 298; Alphabet and, 259–60, 605; algorithms and, 257-61, 298; Android and, 778; Brin and, 259–62, 265, 267–68, 271, 277, 279–80, 283; commodification and, 376–77; crawlers and, 259; data and, 387-91; Glass and, 247; indexing and, 495; Ngram Viewer and, 276, 387, 390; noncommercial nature of, 259-60; Orkut and, 780; Page and, 259-62, 265, 267-68, 271, 277, 279-80, 283; PageRank and, 260-63, 270; SafeSearch and, 280; search and, 259-67, 271-83, 757; social media and, 605, 668 Google Books, 272-78, 299, 307, 337, 377, 387–90, 620, 731, 788 Google Drive, 620 Google Library Project, 274 Google Maps, 280

history and, 78-84, 270, 327, 341, 353, 355,

81-82, 353, 443, 557

Getty Images, 208-9

Gherbode, Thierry, 313

Ghengis Khan, 29

393, 444, 541, 557, 571-72, 639; Pandectae and,

556, 802

Guillory, John, 174-75

Googleplex, 691 Gulliver's Travels (Swift), 824 Google Print, 274 Gurd, Sean, 718-22 Google Translate, 819 Gutas, Dimitri, 28 Gottschalk, Karin, 119 Gutenberg, Johannes: Bible of, 65-67, 74; printing governance: 470-78; art of, 470-72; censuses and, and, 19, 64-68, 74, 174, 240, 417, 421, 542, 677, 791 (see also censuses); chancelleries and, 63, Gutenberg Galaxy, The: The Making of Typographi-107–23, 125, 219, 409, 471, 474, 751, 770; China and, 470, 472, 474-78; Cicero and, 470, cal Man (McLuhan), 209 472; coins and, 414; corporate management and, 169-72; cybernetics and, 382-86; diplomats/ Habermas, Jürgen, 209, 235, 256, 713-17 spies and, 407-12; documents and, 159-67, Habsburgs, 83, 99, 111-12, 137-38, 146, 372, 673, 479-84; Mandate of Heaven and, 470; modern 752, 801 hackers, 208, 337, 412, 780 state building and, 476–78; Ottomans and, 474, 477; practice of, 474-76; propaganda and, 220-28, Hacking, Ian, 358, 452-53, 497, 725, 797 479, 506, 669; registers and, 17, 481 (see also Haeckel, Ernst, 697 registers); semantics of, 472-74; surveilling and, Hagen, Friedrich von der, 404 790-95 Haiti, 149, 804 government documents, 479-85 Hakluyt, Richard, 824 Graffin, René, 655 Hall, G. Stanley, 698 Grafton, Anthony, 3-19, 764-67 Haller von, Albrecht, 645 gramophones, 186, 188-89 Halley, Edmund, 452 Gramsci, Antonio, 300 Haloid company, 656-57 Grandmaster Flash, 743 Hammond, Henry, 389 Hammurabi, 408, 508, 511, 718-19 Granjon, Robert, 560 Granovetter, Mark, 779 Handbook of Photography for Amateurs in India Graunt, John, 118-19, 140, 387, 726 (Erwin), 182 Great Depression, 618 Han Dynasty, 4, 6, 334, 360, 678 Great Exhibition, 241 Han Mao, 360-61 Great Firewall, 367-68 Hansard, T. C., 783 Hare, Jimmy, 196 Great Game, 187 Great Northern Telegraph Company, 179 Harmsworth, Alfred (Lord Northcliffe), 198, 217, Great Rebellion, 164 219, 222 Great Recession, 456 Harper's Bazaar, 295 Great War, 198, 221-28 Harper's Weekly, 195, 602 Greeley, Horace, 634 Harriot, Thomas, 440 Grefenberg, Johan Schenck von, 364 Harrison, Thomas, 81, 265, 282, 639 Greg, W. W., 331 Hartley, Ralph, 244-51, 254-56, 725 Gregg, John Robert, 647-48 Hartlib, Samuel, 447 Grimm, Jacob, 557 Harvard Business Review, 239-40 Grimm, Wilhelm, 557 Harvard Law School, 365 Grindr, 298 Harvard Library, 277, 328 Grolier Club, 755 Hathaway, Anne, 462 Grosseteste, Robert, 493-94 Hathi Trust, 272 Grossmünster Church (Zurich), 556-57 Hatvany, Lajos, 426 Grotius, Hugo, 625, 663 Havas, 178, 194-95, 216 Growing Each Other Up: When Our Children Become Havens, Earle, 458-63, 659-64 Our Teachers (Lawrence-Lightfoot), 801 Hayashi Gahō, 59 Gruter, Jan, 461 Hayashi Razan, 47 Guarico, Luca, 489 Hayek, Friedrich, 254 Guda, 760 Haynes, Kenneth, 424-32 Guiding the Seeker to the Most Splendid Destinations Haynin, Joannis de, 93 Head, Randolph C., 104-26, 750-52 (Ibn al-Akfānī), 29 guilds, 70, 132-33, 201, 480-83, 516, 518, 520, Headrick, Daniel R., 806-12

Hearst, William, 190, 217

Heian period, 44, 551

Heidegger, Martin, 716 Horace, 637, 709, 731 Hellins, John, 558 Horesh, Niv, 616-19 Helmholtz, Hermann von, 698 Horkheimer, Max, 234 Hemingway, Ernest, 196 horoscopes, 22, 363, 486-90, 746 Henkin, David M., 673-76 Hottinger, Johann Henrich, 826 Henry of Renham, 696-97 Houghton, John, 140 Henry VIII, 328 House and Home magazine, 202 House of Wisdom, 17, 27-28, 300 Herbelot, Barthelemy d', 102 Herder, Johann Gottfried, 626 Howell, James, 132 Hermeticism, 26, 320-21, 460 How to Write a Thesis (Eco), 444 Herodotus, 329, 408, 673, 765, 823 Hsiung, Hansun, 583-88 Herschel, Caroline, 400 HTML, 273 HTTP, 273, 692 Herschel, John, 400, 645 Hess, Volker, 364 Hu, Tung-Hui, 273 Hesse Project, 119-20 Huang Shiquan, 583 Hicks, Marie, 247 Hua Tuo, 360 Higgs, Edward, 106, 476 Hudson's Bay Company, 608 Hildebrand von Canstein, Karl, 783 Huet, Pierre-Daniel, 826 Hill, Rowland, 673-74 Hugenberg, Alfred, 219, 233 Hillis, Danny, 279 Hughes, David, 807 Hinduism, 334, 434-35, 764, 781 Hugh of St.-Cher, 263-64, 283 Hindu Kush, 5 Hui Kyong Chun, 278 Hippocrates, 361-62, 563, 598, 642 Huizhou, 49 Hiroshima, 203-4 Hull, Matthew, 482 Hirschler, Konrad, 29, 32 Hull-House Maps and Papers (Addams), 218 Historia animalium (Gessner), 84 Human Knowledge Project, 274 Historia Naturalis Brasiliae (Marggraf and Piso), 817 Human Use of Human Beings, The (Wiener), 385 Historia regum Britanniae (Geoffrey of Monmouth), Humboldt, Alexander von, 638, 645, 697, 725 Hume, David, 233 History of Damascus (Ibn 'Asākir), 33 humoral theory, 523, 598 History of Plants (Fuchs), 79 Hundred Years' War, 63 History of the India of the West, A, 86-87 Hungary, 112, 202, 212, 220, 236, 538, 609 History of the Most Notable Things, Rites and Hunter, W. W., 164 Customs of the Great Kingdom of China (Mendoza), Huntington Library, 732 94 Hurcanus, R. Eliezer ben, 835 History of the Sui, 50 Hus, Jan, 63, 70-71 History of the Yuan, 58 Husserl, Edmund, 302 Hitler, Adolf, 463, 663 Hutten, Ulrich von, 459 Hobbes, Thomas, 126, 291, 679 Huysmans, Joris-Karl, 429 Hobbins, Daniel, 63 Hyland, Sabine, 534-37 Hŏ Chun, 52 \*hypertext, 252, 259, 261-62, 273, 336, 621, 639 Hoffman, Philip, 167 Hog, William, 663 IBM, vii, ix-x, 239-43, 262-63, 270-71, 394, 402, 446, 703 Hogenberg, Frans, 825 Holberton, Betty, 247 Ibn 'Abd Rabbih, 25-26 Hollerith, Herman, 171, 242-44, 250, 260, 266, Ibn al-Akfānī, 29 394, 446 Ibn 'Asākir, 33

Ibn Baṭṭūṭa, 434–36, 618
Ibn Isḥāq, Ḥunayn, 28–30
Ibn Khaldūn, 17
Ibn Manẓūr, 33
Ibn Marzūq, 19
Ibn Qutayba, 25, 28
Ibn Sīnā, 26. See also Avicenna

ibli Silia, 20. See ulso Avicei

Ibn Ţufayl, 558

Holmes, Oliver Wendell, Sr., 350

Hong Kong, 179, 203, 625, 635

Homer, 329, 461–62, 563, 579, 732, 765

Holocaust, 559

Holt, Hamilton, 221

Hooke, Robert, 94, 637

Hopper, Grace, 247, 707

Hoover, J. Edgar, 656

Index Thomisticus (Busa), 262-63

If Christ Came to Chicago (Stead), 218 "If P, Then What?" (Forrester), 358 Igo, Sarah E., 689, 796–98 Illustrated London News, 195 I Love Lucy (TV show), 204 imperialism: bureaucracy and, 347-48; cases and, 359; diplomats/spies and, 408; documents and, 159-60, 166, 414-15; East Asia and, 38, 40, 43-46, 50, 54-55, 57, 59; ethnography and, 434, 436, 438; Europe and, 69, 89, 91, 109; forecasting and, 454; forgery and, 459, 461; globalization and, 467; governance and, 474–77, 734-35; Islamic world and, 28-29, 32; learning and, 556, 694; libraries and, 540, 567; lithography and, 585; maps and, 599; money and, 617; networks and, 89, 91, 99, 194, 626; newspapers and, 635; periodicals and, 136, 145, 147; premodern regimes and, 4-8, 12-15; printed visuals and, 679; privacy and, 687; proofreaders and, 710; public opinion and, 214-15, 223, 226; records and, 109; reference books and, 747; teaching and, 800; technologies and, 178, 182; translating and, 817-18; travel and, 549, 821, 823, 826 incipit, 73-74, 104 incunabula/incunables, 69, 72-76, 341, 494 Index (al-Nadīm), 27-28 Index archivorum generalis and Index Specialis (Waser), 113-14 Index of Forbidden Books, 70 indexing: **491–95**; Asia and, 58; Bible and, 492–93; bibliography and, 327; cards for, 83, 125, 169, 171, 173, 270, 353-56, 393-94, 429, 444, 446-47, 639, 698; China and, 494-95; classification and, 787-88; concordances and, 492-93; control, 53; documents and, 156; Erasmus and, 80; Europe and, 80–83, 107, 111–12, 114, 116, 639; facet analysis and, 788; files and, 450; Gessner and, 81-82, 639; Google and, 495; historical perspective on, 491–95; information policy and, 504; libraries and, 568, 577; maps and, 491, 493; Nuremberg Chronicle and, 74; office practices and, 650; Pliny the Elder and, 491; registers and, 752; search and, 260, 263-64, 267, 277, 787-88; self, 450; sermons and, 494, 775; slips and, 77, 81-82, 107, 270, 353-36, 393, 444–47, 543, 561, 567, 570, 575, 638–39, 698, 700, 711, 776, 787; sorting and, 49-53; summarizing and, 57; standardization and, 53-55; storage and, 46-49, 787-88; table of contents and, 26, 74, 276, 356, 491-94, 572; translating and, 493-94; verbal, 264 Index Medicus (Billings), 243 Index of Prohibited Books (Catholic Church), 70,

367 - 68

Index Specialis (Waser), 114 Indian Garden of Malabar (van Rheede), 542-43 Indian Mutinies, 177 Indian Opinion, 198 Indian Vedas, 759 indigeneity, 69, 95, 115-16, 161, 167, 321-22, 330, 537, 547, 584, 599, 627, 725, 804, 813, 816 \*indulgences, 65-66, 324, 374 Industrial Revolution, 172, 198, 243, 291, 375, 545 Infinity of Lists, The (Eco), 580 information: 496-502; age of, vii-x, 21, 212, 238, 240, 242-43, 256-57, 299, 382-83, 464, 501, 503, 686, 712, 724, 805; control of, vii, ix, 7, 38, 46, 53; defined, 245-46; disinformation and, 105, 124, 278, 496-502, 685; as expansive term, vii–viii; historical perspective on, vii–viii; management of, 30, 36, 75, 77, 81-82, 90, 111, 116-17, 326, 392-93, 429, 447, 477, 506, 520, 567-68, 576, 623, 647, 699, 746, 759; misinformation and, 10, 52, 105, 123-24, 134, 207, 412, 496-502, 622; ordering, 79-82 (see also slips); periodicity and, 128-29, 135, 137, 140, 149, 631;propaganda and, 498 (see also propaganda); Shannon on, x, 104, 185, 245-56, 383, 385, 403-4, 503, 602, 725; social impact of, vii; states, 106, 108, 111, 116-22, 154; storage of, 445, 451, 614, 647, 650–51, 706, 755, 792, 827; truth and, 123-25; use of term, x; verification practices and, 163; warfare with, 215, 228 Information Keywords, vii information order, 106, 110, 113, 149, 215 information policy, 503-7 information state, ix, 14, 104-26, 227, 567, 689 Inghirami, Curzio, 461 Inka and Inka Empire, 86, 334, 534-37 Innocent III, 474 In Praise of Scribes (Trithemius), 327 Inquisition, 105, 107, 115, 368, 439, 751-52 inscriptions: ancient, 508-13; Christians and, 512; coins and, 371, 508; encrypting/decrypting and, 508, 510; papyrus and, 508; Persia and, 510-11; records and, 106-7; role of, 508-13; Spain and, 511; uses of, 508–13 Instagram, 296, 777-78, 782 Institutes (Quintilian), 730 intellectual property: 514–22; advertising and, 520-21; Berne Convention and, 519; Christians and, 514; computers and, 517; copyright and, 144, 189, 274–75, 337, 375–77, 431, 514, 518–20, 542, 604, 662, 721, 741, 743, 784; Donaldson v. Beckett and, 519; fair use and, 274, 377; Jews and, 514; legal developments for, 514-22; Letters

of Protection and, 517; maps and, 520; news and, 519; patents and, 133, 176, 186, 229–30, 349, 366, 375–78, 402, 514–22, 601, 706, 808–9; printing and, 518–19; recording and, 743–44; Statute of Monopolies and, 515–16; trademarks and, 514, 520–22, 587, 768; World Intellectual Property Organization and, 516

intelligence testing, 523-26

International League of Antiquarian Booksellers (ILAB), 755

International Phonetic Alphabet, 311 International Telegraph Union (ITU), 178–79, 200, 505

Internet Archive, 275, 278–79, 406 Internet Research Agency, 501 interpreters, 90, 434–36, 625, 814–15

inventories: appraising and, 305; censuses and, 528; Jews and, 528; libraries and, **527–29**; Ottomans and, 527; registers and, 17

Iran, 5, 22, 34-36

Iraq, 22–29, 300–1, 483, 488, 510, 694

Ireland, William Henry, 462

Irwin, Will, 498

Isaiah, Bible book of, 696

Isidore of Seville, 731

Islamic world, viii; Abbasids and, 17, 22, 25-29, 33–34, 486; abrogation and, 23–24; accounting and, 25, 27, 32; age of compendia and, 30-31; algorithms and, 300-1; Arabic numerals and, 17; Arabic vs. Persian concepts of knowledge and, 21-22; bureaucracy and, 31-34; cases and, 362; censuses and, 37; China and, 60; Christians and, 3, 18, 22, 28; codification of Classical Arabic and, 24–25; coinage and, 617; diagrams and, 37; early modern Europe and, 61, 72, 83; Egypt and, 22, 29-35; error and, 428; ethnography and, 433-34, 436; Europe and, 87-88, 95-96, 98-102; golden age of, 300; hadith sources and, 428; horoscopes and, 488; House of Wisdom and, 17, 27-28, 300; Hull on, 482; inscriptions and, 508; inventories and, 527; Iran and, 34-36; Khorasan and, 34-36; Koran and, 17, 19; libraries and, 22, 27-29, 36-37; literacy and, 25; maps and, 27, 37; medieval, 21-37, 488, 802; Muhammad and, 23-25, 27-28, 31, 776; nautical manuals and, 591; networks and, 88, 92, 98-102; news and, 21; newspapers and, 36; nineteenth century and, 166, 182; nineteenthcentury Iraq and, 22-29; One Thousand and One Nights and, 100; orality and, 23-25; Ottomans and, 88; ownership and, 514; paper and, 16–19, 27-29, 436, 482, 508, 591, 748; papyrus and, 17; parchment and, 27; Persia and, 21-28, 33-35; physicians and, 8; printing and, 72, 83, 585;

Quran and, 23–24, 29; registers and, 29, 32; religious inscriptions and, 509; science and, 26–27; secretaries and, 25, 28, 32–33; sermons and, 772, 776; Silk Road and, 16; sixteenth-century networks and, 87–88, 95–96, 98–102; Spain and, 17, 27, 802; spice and, 32; Syria and, 29–34; teaching and, 802–3; technology and, 166, 182; translating and, 22, 27–28, 34, 36–37; travel writing and, 433

Ivins, William, Jr., 677

Jackson, Ian, 429 Jacquard, Joseph Marie, 241, 243 James, William, 426, 639 James I, 471 Jannon, Jean, 560

Japan: books and, 16, 41, 44, 46–48, 438, 504, 551, 587, 828, 830; civil service exams and, 54-55; computation and, 253; documents and, 159, 414, 483; Edo period and, 678; ethnography and, 438, 440; Heian period and, 44; Hiroshima bombing and, 203-4; information circulation in early, 38-60; information policy and, 504; Jesuits and, 440, 801; Kana and, 43; letters and, 551-53; linguistics and, 551; lithography and, 585, 587-88; Nagasaki and, 204, 549; Nara period and, 710; networks and, 193-94, 196, 198, 203-4; Pearl Harbor and, 227; periodicals and, 149; Perry and, 186; printing and, 16, 38, 40-44, 48, 52, 504, 542, 585, 587-88, 678, 828-30; proofreaders and, 710; public opinion and, 213–14; Public Security Intelligence Agency and, 410; script and, 551-52, 554; scrolls and, 764, 766; Shōtoku and, 829; spies and, 410; teaching and, 542-43, 801, 808; technology and, 178, 186–88; telephones and, 186–88; Tokugawa shogunate and, 41, 44, 47–56, 59, 414, 504, 549; xylography and, 828-30

Japanese Illustrated Universe, 57 Japan in Print (Berry), 44

Jaume the Conqueror, 18

Jefferson, Thomas, 148, 375, 461–62, 655

Jesuits, 544; accounting and, 288, 291; archaeological decipherment and, 308; art of memory and, 322; Asia and, 52; China and, 52, 92–94, 322, 454, 541, 541–42, 801; ethnography and, 440; Europe and, 69; excerpting and, 443; forecasting and, 454; Japan and, 440, 801; letters and, 92–94, 822; networks and, 86, 92–94, 801; notebooks and, 637; plagiarizing and, 663; printed visuals and, 679, 684; teaching and, 801; travel and, 822, 824

Jesus Christ, 6, 94, 432, 458, 461, 463, 773, 801, 822

jetons, 371-72 128-32, 139-50; communication and, 392; Jevons, Stanley, 454 databases and, 392; diaries and, 636; earliest, Jewett, Charles Coffin, 328 530; electronic, 336; Fox and, 305; late modern Jews: Ashkenaz, 69; Asia and, 7; cabals and, 663; period and, 532-40; lists and, 636; literary, censorship and, 367; documents and, 166; error 139-42; networks and, 190-96, 200-9, 622, and, 428, 430; expulsion from Spain and, 802; 626; notebooks and, 636–38; observing and, 643-44; online, 532-33; peer review and, 428, forgery and, 460, 463; intellectual property and, 514; inventories and, 528; Josephus, 15; 531–33, 695; public opinion and, 212–14, medicine and, 364; Mishna and, 428; networks 217-33; rise of, 530-31, 572; role of, 530-33; and, 88, 202, 623; paper and, 18; plagiarizing and, scholarly, 139-43, 239-41, 266, 270, 392, 663; professors and, 700; public opinion and, 402-3, 456, 531-32, 542, 565, 644; scientific, 219, 223, 233-35, 548; reference books and, 139-42, 239-41, 402-3, 542; search and, 746; Romans and, 11; Sabbath and, 458, 775; 266-67, 270; technology and, 182, 185 scribes and, 761; scrolls and, 765-66; search Joyce, William, 232 and, 263, 265, 280; sermons and, 775; surnames Judas Iscariot, 462 of, 746; Talmud and, 11, 367, 504; teaching and, Julius Caesar, 12, 462, 770 802-3; travel and, 825-26 Julius II, 489 Jiang Yingsu, 361 Jungius, Joachim, 639 Jing, Zen, 55 Jurchen, 43-44 Joan of Arc, 63, 462 Justinian Augustus, 8 Jobs, Steve, 228, 238, 240 Jütte, Daniel, 427 Johannes & Co. Mandalay, 182 Johannes of Speyer, 518 kabbalah, 18, 35, 320 Kafka, Franz, 346-47 John, Richard R., 211–36 John Crerar Library, 577 Kahn, David, 310 John III, 438 Kahn, Lloyd, 757 John of Salisbury, 459–60, 660 Kāi Tahu, 161 John Rylands Library, 758 Kameralwissenschaft, 118, 126 Johns, Adrian, 686-93 Kangxi, 45 Johnson, Samuel, 144-45, 496, 543, 663, 670 Kant, Immanuel, 142, 690, 693, 716, 775 Johnston, Arthur, 662 Kassell, Lauren, 358-65 Kästner, Abraham, 699 Joly, Maurice, 663 Jomard, Edme-François, 585 Kayser, Albrecht, 353 Jones, Matthew L., 281-82, 703-8 Keller, Vera, 579-81 Jonson, Ben, 129, 331, 637 Kelly, Kevin, 272-73 Jordan, 5 Kelty, Chris, 705 Joseph, Leon, 826 Kempel John, 517 Josephus, 15 Kepler, Johannes, 642 Journal des Savants, 139 Ketelaar, Eric, 479-84 journalism, 549, 583; advocacy, 206-7; cameras Keynes, John Maynard, 220 and, 351; commodification and, 376; early Keyword-in-Context (KWIC), 264 modern era and, 143, 147; ethics and, 633; KGB, 410, 541 excerpting and, 446-47; Habermas on, 209; Khalīl ibn Aybak al-Şafadī, 30 misinformation and, 497-99; networks and, Khan Academy, 805 191, 193, 195-96, 200-9; newspapers and, 633 khipus, 334, 534-37, 540, 724 (see also newspapers); photojournalism and, Khitan Liao, 43 181, 190-91, 195, 202; political reporting and, Khorasan, 22, 34-36 669–72; privacy and, 686, 689, 691; profession-Kim Kūnsa, 47 alization and education, 201-2; public opinion King, Stephen, 275 and, 213-14, 217-33; search and, 267; social King James Version, 246, 264 media and, 781; technology and, 181, 185, 708 Kircher, Athanasius, 52, 94, 308, 541, 543 Journal of Librarianship, 245 Kirk, Robert, 548 journals: 530-33; appraising and, 305; cases and, Kirschenbaum, Matthew, 379-81

Kiung, 7

364; Columbus and, 3; commercialization and,

Kivelson, Valerie, 652-54 intellectual, 695, 698, 755-56; media, 96, Kluckhohn, Clyde, 385 602-4; networks and, 96; painting and, 183; Knight, Charles, 784 periodicals and, 128; photography and, 181; knowledge: 538-44; Christians and, 542; dissemiplatforms and, 665; political, 125, 232; religious nating, 541-43; globalization and, 543; libraries and, 512; surveys and, 796; textual, 56, 61, 309; and, 540 (see also libraries); making, 539-41; translating and, 818 overload and, 543-44; specialization and, 543-44; Langbaine, Gerard, 662 spies and, 541-42; travel and, 539-40; varieties Langton, Stephen, 492–93 of, 538-39 Lanier, Jaron, 449-50 Knox, Vicesimus, 257, 496 Lanston, Tolbert, 561 Kober, Alice, 310 Laodicea, 9 Las Casas, Bartolomé de, 115, 439-40 Koberger, Anton, 560 Lasswell, Harold, 223-24, 227 Kodak, 181, 195, 351, 656-57, 689 Koestler, Arthur, 220 Latin Vulgate Bible, 335 Koga Seiri, 55 Latour, Bruno, 406, 449, 716 Kögel, Raphael, 657 Lauder, William, 662-63 Kokusai News Agency, 194 Lauer, Josh, 790-95 Lawrence-Lightfoot, Sara, 801 Kölner, Augustin, 316 Komensky, Jan Amos. See Comenius layering, 250-52 Kool Herc, 743 layout, 551-54 Koran. See Quran Lazarsfeld, Paul, 234, 385, 606, 798 Korea: Korean alphabet, 43-45; books and, 16, 42, Le Bon, Gustave, 233 46-47, 334, 551, 553, 784, 828-29; cataloging Le Monde, 200 codes and, 576; Chosŏn dynasty and, 41, 44, 46, Le Play, Frederic, 797 48–56; circulation of information in early, 40–58; Lea, J. W., 711 civil service exams and, 53-55; gazetteer League of Nations, 157, 725 tradition and, 51; IMINT and, 410; letterpress learning: **555–59**; autodidacticism and, 557–59; and, 560; letters and, 551-54, 553; libraries Bible and, 556-57; computers and, 558; Enlightand, 45-48, 576, 678; linguistics and, 551; enment and, 556; error and, 427; machine, 271, printing and, 16, 41, 52, 334, 542, 678, 828-29; 386, 388, 404, 556, 707-8; merchants and, 556; scrolls and, 764; technology and, 40, 383; MOOCs and, 256; news and, 556; translating and, translating and, 42 556, 558 Kracauer, Siegfried, 233-34, 446 lectures, 694-701 Krajewski, Markus, 125, 265, 353-56 ledgers, viii, 117, 171, 288-89, 602, 637 Kufa, 24, 28 Lee, Blewett, 455 Kun, Lu, 48 Lee, Joseph Howard, 463 Kurtz, William, 562 Legipontius, Oliverius, 568 Kushan Empire, 5 Leibniz, Gottfried Wilhelm, 94, 265, 394, 543, Kussmaul, Adolf, 685 558, 639 Kusukawa, Sachiko, 683 Leica, 201-2, 351 Kwanmyŏng, Yi, 56 Leitura Nova, 111–12, 752 Leland, John, 76, 459 La Fontaine, Henry, 447, 639 Lemaire de Belges, Jean, 461 Lemberg, Diana, 503-7 La Motte, Picot de, 95-96 Lachmann, Karl, 330-31 Lemov, Rebecca, 382-86 Ladies' Home Journal, 605 LEO, 248 Ladies' Mercury, 141 Leopoldina (Academia), 644 LaMarre, Thomas, 552 Léry, Jean de, 440 Lamentations, Bible book of, 492 Leskov, Nikolai, 234 land ownership, 12, 119, 139, 556, 750 Letraset, 651 landmarks, 183, 211, 328, 545, 549, 563, 572, Letter of Philocrates, 460 599 letterpress, 40, 175, 334, 560-62, 584, 655, 783, landscapes: cities and, 545-50, 567; computing

Letters of Phalaris, 460

infrastructure and, 380; digital, 568, 758;

letters: bibliography and, 328; capacity of, **563–66**; China and, 551; codification of, 564; communication and, 83–84; inscriptions and, 106–7; Jesuits and, 92–94, 822; layout of, 551–54; logographic system and, 759; merchants and, 59, 83–84, 87, 91–96, 101, 106, 132, 136, 140, 196, 612–13, 628–30, 675, 807, 826; networks and, 95–97; news and, 566; newspapers and, 566; Republic of Letters and, 35, 83, 105, 139–40, 316, 364, 430, 438–39, 444, 476, 538, 556, 565, 622, 625, 673, 710, 822, 826; secretaries and, 72, 99, 138, 563–64; translating and, 95–96 Letters of a Portuguese Nun, 95

Love-Letters between a Nobleman and His Sister (Aphra Behn), 95

Levandowski, Anthony, 238 Levant Company, 98–100 *Leviathan* (Hobbes), 291 Lewis, Sinclair, 769

\*lexicons, 21, 30, 301, 330, 344, 441, 446, 452, 705, 746–47, 816, 825

Leyh, Georg, 575 Li Shizhen, 51–52 Li Zhizao, 52

libraries: 567–78; academy, 16; accounting and, 290; algorithms and, 304; American movement for, 498; archivists and, 314, 316, 540; bibliography and, 326-32; Billings and, 243-44; books and, 334-42; cards and, 82, 125, 171, 243-44, 276–77, 326–27, 332, 353–66, 394, 446–47, 493, 497, 543, 567, 570-78, 639, 698, 701; cases and, 364-65; catalogs and, 394, 567-78; commercial, 48; commodification and, 375-78; communication and, 243-45, 252; computers and, 244-45, 252, 270, 275-77, 336, 465, 577-78, 758; copying and, 655–56; corporate, 650; data and, 569-72; databases and, 394; Dewey Decimal Classification and, 244, 327, 541, 543, 576, 639, 787; digitization and, 388, 404; documents and, 171, 416; East Asia and, 44-56; Enlightenment and, 572; ethnography and, 439; Europe and, 64–65, 81–82, 115, 125; excerpting and, 443, 446-47; forgery and, 459, 462; Google Books and, 272-78, 299, 307, 337, 377, 387-90, 620, 731, 788; House of Wisdom and, 17, 27-28, 300; impact of, 567-78; indexing and, 492, 568, 577; interlibrary loans and, 655; inventories and, 527–29; Islamic world and, 22, 27–29, 36–37; journals and, 532-33; Korea and, 45-48, 576, 678; law school, 15; learning and, 557; maps and, 599; media and, 603; Medici and, 64; memex and, 639; networks and, 101-2; observing and, 641; periodicals and, 136, 149; plagiarizing and, 659–61; printed visuals and, 678; printing and,

567, 577; privacy and, 688; professors and, 698, 701; proofreaders and, 709; public, 36, 48, 64, 328, 377, 447, 576, 578 755; reference, 65; records and, 115, 125; search and, 265–78, 283; rotating, 47; storage and, 46–48, 497; telegraphs and, 567; Universal Decimal Classification and, 327–28

Library Bureau, 446

Library of Alexandria, 265, 267, 273–74, 326, 394, 492, 495, 709, 765, 786

\*Library of Congress, 275–77, 326–27, 567, 576–77, 656

Licensing Act, 662, 670

Licklider, Joseph, 249, 251, 254, 256

Life and Labour of the People in London (Booth), 797

Life magazine, 202–3 Likert, Rensis, 798

Lin, Yii-Jan, 331

Lincoln, Abraham, 463, 814

Lindisfarne Gospels, 335

Linnaeus, Carl, 393-94, 524, 541

linotype, 193, 561

Linstrum, Erik, 669-72

Lippmann, Walter, 224–25, 227–28

Lipsius, Justus, 443

lists, 527-29, 579-81

\*literacy: accounting and, 290–91; archivists and, 316; Asia and, 44, 59; civil financial, 290; Europe and, 62, 73, 108; Islamic world and, 25; mercantile, 62; networks and, 193, 199, 204; paper and, 414; periodicals and, 130, 139; pragmatic, 62, 479–80; printing and, 414, 417 Literary Information in China: A History, vii Literary Machines (Nelson), 261

Literary Sinitic, 43 lithography: China

lithography: China and, 585–88; development and use of, **583–88**; diagrams and, 584–85; Egypt and, 585–87; globalization and, 584; Japan and, 585, 587–88; maps and, 584–85, 587; newspapers and, 587; Ottomans and, 585, 587–88; Persia and, 584, 587; photolithography and, 586–87, 657; printing and, 583–88; xylography and, 586

LiveJournal, 780

Liverpool Echo, 219

Lloyd, Edward, 630

Lloyd's of London, 809

Llull, Ramon, 419

Locke, John, 148, 638, 662, 728

Locke, John, 148, 638, 662, 728 Locke, Leland, 534

logarithms, 240, 747, 783 logography, 561

Lombroso, Cesare, 185

London Gazette, 99, 138, 144, 415, 633, 670

London Missionary Society, 585

Long, Pamela O., 589–93

Looking Backward (Bellamy), 453

Lorenzetti, Ambrogio, 319

Louis XIV, 57, 99–100, 117, 290, 309, 372, 387, 409, 472–73, 503, 553

Louis XV, 822 Louis XVIII, 314

Lovelace, Ada, 241, 243, 246, 265, 380, 662

Loyola, Ignatius, 625, 824

Lu Kun, 48 Luanda, 549

Lucas, Vrain-Denis, 462 Luce, Henry, 202–3 Lucian of Samosata, 823

Lucretius, 64, 65

Luhmann, Niklas, 355, 445, 698 Luhn, Hans Peter, 263–64, 267, 270–71

Lumière, Auguste, 186 Lumière, Louis, 186 Lurija, Alexander, 318 Lusitanus, Amatus, 364

Luther, Martin, 71, 324, 367, 459, 549, 728

Lycos, 259

Lynch, Jack, 746–49 Lyons Coffee House, 248

Mabillon, Jean, 329, 459 McClain, James, 483 MacCormick, John, 300 McCormick, Robert R., 217 McCullin, Don, 205–6 McCulloch, Warren, 384–85

McCusker, John, 132

McDermott, Joseph Peter, 828 MacDonald, Andrew, 157 McGann, Jerome, 331 Machiavelli, Niccolò, 64

Machiavellian Moment, The (Pocock), 287 \*machine learning, 271, 386, 388, 404, 556, 707–8

Machine Readable Cataloging (MARC) format,

276–77

McKenzie, Donald F., 329–30 McKeown, Adam, 156 McKerrow, Robert, 330–31 McKitterick, David, 560–62 Maclean, Ian, 339–42, 429

MacLeish, Archibald, 226–27 McLuhan, Marshall, vii, 125, 191, 209, 239–40,

464, 722

Macmillan publishing, 785 McNamara, Robert S., 206–7 Macpherson, James, 461–62

Macrobius, 661

Macy Conferences, 383-85

Madison, James, 233

Magdeburg Centuries, 444

Magellan, Ferdinand, 477

Magliabechi, Antonio, 340

Magnum Theatrum Humanae Vitae, 74, 80, 82, 444

Magnus, Albertus, 319 Ma Huan, 434–36

mail service. *See* postal service Maimieux, Joseph de, 429–30 *Mainichi Shimbun*, 204 Makleff, Ron, 109

Malinowski, Bronisław, 540 Malraux, André, 275 Malthus, Thomas, 292 Mamluks, 22, 29–35 Man, Paul de, 330

Manchester Guardian, 219 Manchu, 44–45, 55, 58

Manchu Veritable Records, The (Manzhou Shilu), 58

Mandeville, John, 461 Manetho of Egypt, 460 Manhattan Project, 265–66 Mann, Michael, 347 Mantegna, Andrea, 679

manuals: accounting and, 288–90; appraising and, 306; books and, 336; cameras and, 349; documents and, 482; East Asia and, 39, 49; Islamic world and, 25, 28; lithography and, 584; office practices and, 648; professors and, 696; readers

and, 730; role of, **589–93** Manucci, Niccolò, 86, 92 Manuel I, 111

manuscript, culture, 62

Manutius, Aldus, 320, 339, 341, 560, 660-61, 711

Manutius, Paulus, 320 Mao Tse-tung, 199

maps: appraising and, 306; Bible and, 598-99; books and, 336; Columbus and, 3-4; commercialization of information and, 129, 137, 150; diversity of, 595-600; documentary authority and, 413; East Asia and, 41, 50, 52, 59; Egypt and, 599, 822; ethnography and, 439; Google, 280; governance and, 471, 474, 477; government documents and, 482; horoscopes and, 486-87; indexing and, 491, 493; intellectual property and, 520; Islamic world and, 27, 37; landscapes and, 545-50; libraries and, 599; lithography and, 584-85, 587; military, 14; networks and, 622, 624; nineteenth century and, 163; paper, 4, 17, 106, 129, 218, 280, 471, 587, 594, 725, 734; papyrus and, 594; Portugal and, 599; printed visuals and, 678, 680; printing and, 595; propaganda and, 594, 596; Ptolemy and, 14, 598-99, 643, 748, 820; quantification

maps (continued) photography and, 602 (see also photography); and, 725; reading against the grain and, 734-35; technology and, 174-89; telegraphs and, 176-81; records and, 106, 114-20; role of, **594-600**; telephones and, 777 search and, 261, 264, 280, 282, 788; social Medici, Cosimo de, 64 media and, 779; Spain and, 595, 597, 599; Medici family, 64, 76, 288 surveys and, 796; technology and, 178, 186; Megasthenes, 10 translating and, 599, 818; travel and, 820-22, Mehmed IV, 98-99 824-25 Meijer, Albert, 484 Marchi, Francesco de, 591 Meinecke, Friedrich, 316 MARC (Machine Readable Cataloging), 577–78 Meiners, Christoph, 445-46 Marconi, Guglielmo, 212, 228-30, 809-10 Meltzer, Marilyn, 247 Marcus, Hannah, 366–68 Melville, Herman, 675 Marcus Aurelius, 694 Melvin-Koushki, Matthew, 35 Marcus Modius Maxximus, 511 \*memex, 247, 266, 270, 282, 328, 639656. See also Bush, Vannevar Marcus Orfellius Faustus, 512 Marey, Etienne-Jules, 185 memory: albums and, 295-96; Aristotle on, 683; Marggraf, Georg, 817 art of, 318-322 (see also art of memory); cases Mari tables, 408 and, 363; communal, 545–48; computers and, Mark Antony, 329 241, 247; cultural, 59; diagrams and, 398; Marlowe, Christopher, 331 documents and, 160-61, 170, 172 error and, "Marmion: A Tale of Flodden Field" (Scott), 458 428; ethnography and, 434; excerpting and, Marolles, Michel de, 679 442, 445; files and, 450; government documents Marracci, Ludovico, 102 and, 479, 481; implicit, 538; Islamic world and, 26; Japan and, 44; learning and, 555, 557; librar-Marryat, Frederick, 807 Martial, 518, 650, 659, 662, 709 ies and, 568, 571; maps and, 600; memos and, Martin, Heinrich 72, 608-10; notebooks and, 637, 639; photo-Martin, Robert Montgomery, 163 graphic, 684; plagiarizing and, 661; printed visuals and, 677, 679, 684-85; publication and, Martini, Simone, 319 Martius, Jeremias, 340-41 720; random access, 336; readers and, 731; records and, 108, 124-25; reference books and, Martyr, Peter, 824 Marx, Karl, 446, 706, 716 746; scrolls and, 765; search and, 265, 268, 278; Marx, Leo, 174 secondary, 355, 442, 698; sermons and, 773 Mary Magdalene, 462 \*memory palace, 322 Masen, Jakob, 663 memo / memorandum, 289, 363, 415, 480, 608-10 massive open online courses (MOOCs), 256, 412 Menabrea, Luigi, 241, 243 Mass Observation project, 234-35, 798 Mencius, 472 Match, 298 Mencken, H. L., 225, 275 Maternus, Julius Firmicus, 486 Mendeleev, Dmitri, 541 Mathematical Theory of Communication (Shannon), Mendelsohn, Andrew, 364 403-4 Matsys, Quentin, 290 Mennher, Valantijn, 290 Matthew, Bible book of, 6, 773 Mauss, Marcel, 377 Maximillian, 83 Maxwell, James Clerk, 382-83 Mead, Margaret, 383-85 media: 601-7; advertising and, 606 (see also advertising); audiences as information and, 605-6; capitalism and, 601; censuses and, 602, 605-6; Cooley and, 603-4; excerpting and, 444–47; impact of, 601–6; industry and, 602–4;

merchants and, 602; news and, 601-2, 606; newspapers and, 602 (see also newspapers);

Nielsen ratings and, 606; patents and, 601;

620, 623; newsletters and, 628-30; newspapers and, 613; notebooks and, 637-38; political reporting and, 760; postal service and, 673-76; power of, 89-91; public opinion and, 211, 213, 228; records and, 106, 121; teaching and, 802; telecommunication and, 807; telegraphs and, 613-15; telephones and, 614; trading companies and, 89-91, 100, 140, 145, 290, 476, 482, 541, 614; translating and, 95-96; travel and, 3-11, 285-87, 822

Mercier, Louis-Sébastien, 267-69

Mercury Leader, 193 Mergenthaler, Ottmar, 561 Merton, Robert, 385, 690 Methodists, 264, 775 Metternich, Klemens von, 409

Meurisse, Martin, 680-83 Mexico, 69, 86-87, 92-94, 149, 182, 193, 205,

215, 409, 438-39, 599, 804, 816-18 Meyer, Ilsabe, 136

Meyer, Johann, 136

Mézière, Henri-Antoine, 148

MI5, 541

Michaelis, George, 219

microwaves, 810

microfilm, 266, 270, 276, 396, 639, 650, 656-57

Microsoft, 208, 271-72, 281

MiGente, 780 Mikanowski, Jacob, 7 Milgram, Stanley, 779 Mill, John Stuart, 233 Miller, Arthur R., 689-91 Milton, John, 264, 328, 662-63

mimeographs, 648

Mind of a Mnemonist, The: A Little Book about a

Vast Memory (Lurija), 318

Ming dynasty, 39-40, 47-48, 56, 359-60, 829

Ministry of Public Enlightenment and Propa-

ganda, 225-26 MINITEL, 251-53 Minott, Adena, 455 Mishna, 428

Misinformation. See information

Mocenigo, Giovanni, 321 Modern Cookery (Acton), 399

Modi, Narendra, 781 Mokros, Emily, 413-16 Molina, Alonso de, 816 Mommsen, Theodor, 700

money: accounting and, 287-93; Bitcoin, viii, 423, 619; Bretton Woods and, 618; cases and, 358; coins and, 5, 616 (see also coins); commodities and, 374-76; digitization and, 402; diversity of, 616-19; documents and, 154, 157, 167, 169;

encrypting/decrypting and, 423; ethnography and, 436; Great Depression and, 618; impact of, 616–19; networks and, 102, 190, 192, 201, 203, 627; paper, 371, 436, 616, 627; periodicals and, 129, 132, 143, 145, 532; Persia and, 616; printing of, 618; records and, 108, 110, 117-18; Roman, 9; search and, 272; teaching and, 802; trade goods and, 3 (see also merchants); trust, 14

Mongols, 3, 6, 29, 34, 38, 40, 43-46, 54, 60, 439, 618, 673, 828-29

Monotype, 561 Monroe Doctrine, 194 Montabone, Luigi, 182

Montalboddo, 824

Montaigne, Michel de, 462, 637, 661, 721 Montaigu, Gerard de, 110, 113-14, 314

Monte Cassino, 8 Montefeltre, Federigo da, 65 Montreal Type Foundry, 785 Mont Saint Michel, 760 Moody, John, 454

Moore, Althea Beatrice, 294–96 Morandi, Orazio, 489-90

More, Thomas, 824 Morgan, Lady, 344

Morgan Library and Museum, 554

Morhof, Daniel Georg, 443 Moricand, Stefano, 625 Morland, Samuel, 419

Morocco, 11

\*Morse code, 176-80, 245, 250, 254, 740, 807

Morton, Samuel, 394

Moser, Johann Jacob, 355-56, 445-46

Moses, 820

Mosheim, Johann Lorenz, 699

Mosse, Rudolf, 219 Mosul, 24, 28 Mount Vesuvius, 14

Mughal Empire, 36, 86, 88, 91, 149, 187, 474,

482, 617

Muhammad (Prophet), 23-28, 31, 98, 776

Muhanna, Elias, 21-37, 769 Mullaney, Thomas S., 174-89 Müller, Leos, 611-15 Müller, Lothar, 16 Multilith machine, 648 Mumler, William H., 184-85

Münzenberg, Willi, 219 Murdoch, Rupert, 209, 780 Murner, Thomas, 319-20

museums, 76-77, 184, 299, 304, 329, 377, 517, 537-40, 554, 567, 573, 580, 636, 826

Musil, Robert, 567 Mutio, Theseo, 515 Muybridge, Eadweard, 185 MySpace, 780 Mythical Man-Month (Brooks), 703

Nabateans, 5 Nagasaki, 48, 204 Napoleon, 148, 372-73, 461, 823 Nappi, Carla, 38-60 Nathan, Isaac, 263-64 National Association for the Advancement of Colored People (NAACP), 200 National Election Study, 798 National Geographic, 195 National Geospatial Intelligence Agency, 410 National Opinion Research Center, 798 National Physical Laboratory (NPL), 248-49 National Reconnaissance Office, 410 National Science Foundation (NSF), 247, 251 National Secretaries Day, 769 National Security Act, 410 National Security Agency (NSA), 422, 666, 706–7 National Technical Information Service, 266 Natural History (Pliny), 10, 14, 78, 491, 730, 747, 765 Naudé, Gabriel, 341, 568 Naven (Bateson), 382 Nazis, 200, 202, 213, 219-20, 225-27, 232-35, 243, 426, 528, 548 NBC, 204-5, 209, 604 N-diagrams, 620 Necker, Jacques, 292 Negroponte, Nicholas, 465 Nelles, Paul, 567-78 Nelson, Theodor Holm (Ted), 252, 261-62, 273 Neoplatonism, 26, 35-36, 288, 320 Neopythagoreans, 26, 35-36 Nepos, Cornelius, 765 Nestorius, 7

networks: 620-27; accounting and, 88, 91; actor network theory (ANT) and, 624-26; advertising and, 199-200, 204; ARPANET, 251, 273, 620; books and, 86-87; capitalism and, 91; Catholics and, 88, 92–94, 98; censorship and, 193, 200, 204, 623; China and, 92-94, 194, 198-200, 206-7, 245, 625; Christians and, 92, 98, 100-2; coins and, 99-100; commercialization and, 144-47, 621; computers and, 621, 624, 757-58; correspondence, 141; credit, 167-69; digital, 620–22; diplomats/spies and, 407–12; Egypt and, 89, 100, 674; Enlightenment and, 626; Europe and, 98-102; expanding, 144-47; globalization and, 86–89, 103, 191; imperialism and, 89, 91, 99, 194, 626; Islamic world and, 87-88, 92, 95-102; Japan and, 193-94, 196, 198, 203-4; Jesuits and, 86, 92-94, 801; Jews and, 88, 202, 623; journals and, 190-96, 200-9,

622, 626; letters and, 95-97; libraries and, 101-2; literacy and, 193, 199, 204; making of connectedness and, 86-103; maps and, 622, 624; merchants and, 86-103, 140, 145, 192, 196, 290, 476, 482, 541, 613-14, 620, 623; money and, 102, 190, 192, 201, 203, 627; news and, 86, 91, 93-94, 98-99, 190-209, 622; newsletters and, 101, 199; newspapers and, 190-209, 620; Ottomans and, 86-88, 91, 95, 98-102; periodicals and, 144-47; Persia and, 96, 99-101; photography and, 195-96, 201-2, 208; Portugal and, 86, 89, 92–96, 194; printing and, 96, 193, 198, 207, 627; radio and, 199, 204, 206, 620; role of, 620-27; scholarly, 57; secretaries and, 98-99; sixteenth-century, 86–103; social media and, 777-82; social network theory (SNT) and, 624-26; Spain and, 87, 93, 97-98, 194, 200; spice and, 3-10, 89, 96; submarine cables and, 177-78, 213-14, 505, 808; Syria and, 89, 99-101; telegraphs and, 191-95, 198-200, 204, 209, 620-22; telephones and, 622, 725; trading companies and, 89-91, 100, 140, 145, 290, 476, 482, 541, 614; translating and, 86, 90, 95, 98, 101-2, 195, 204, 209, 625, 627; travel and, 86; World Wide Web, 244, 252, 254, 259, 261-62, 328, 338, 550, 619, 621, 779

Neumann, John von, 246–47, 380, 385 New Deal, 689

New English Dictionary, 748

New Method of Cryptography, A (Morland), 419 news, 15; algorithms and, 298-99; Asia and, 41, 52, 58-59; authority and, 414-15, 610, 712; bells and, 325; censorship and, 367; commercialization and, 129-32, 135-49; duplication of, 785; Europe and, 61, 66, 68, 71; fake, 225, 258, 458, 463, 498-500; global, 190-209, 780-81; Great Firewall and, 367; hot media and, 191; intellectual property and, 519; Islamic world and, 21; journalism and, 669 (see also journalism); learning and, 556; letters and, 566; media and, 601-2, 606; merchants and, 613; networks and, 86, 91, 93–94, 98–99, 190–209, 622; petitions and, 652; photography and, 351 (see also photography); plagiarizing and, 663; platforms and, 667; postal service and, 12, 129-32, 135-39, 148, 150, 505, 628-30, 674; power of elites and, 501; printed visuals and, 685; privacy and, 689, 691; propaganda and, 506 (see also propaganda); public opinion and, 212-13, 216-36, 384; public sphere and, 713; search and, 279, 282; sensationalism and, 201, 669; state, 414; syndicated, 783-84; technology and, 176-78; telegraphs and, 176; television and, 204-5; travel and, 822; word of mouth and, 546, 550 News Corporation, 780

newsletters: development of, 629; Europe and, 71; marginal groups and, 501; merchants and, 628–30; networks and, 101, 199; paper and, 19; periodicals and, 129–31, 135–38, 149; platforms and, 669; printing and, 630–31; role of, **628–31**; scribes and, 762

News Networks, 622

newspapers: advertising and, 143-44, 181, 196, 198, 205, 216-19, 232-33, 520, 633, 635, 670-71, 689, 754, 779, 784; albums and, 294; Asia and, 58; Associated Press and, 177-78, 190, 194, 198, 209, 216, 220, 351, 603, 635; barons of, 198, 217–19, 222; bureaucracy and, 344; cameras and, 351; capitalism and, 168, 172; Carolus and, 633; censorship and, 634; circulation of information and, 497-501, 602-3; commercialization and, 128-32, 135-50; communication and, 252; computers and, 381; digitization and, 402; documents and, 415; error and, 427; excerpting and, 446; Georgetown Set and, 200; Greeley and, 634; Hearst and, 190; horoscopes and, 746; as hot media, 191; impact of, 633-35; Islamic world and, 36; letterpress and, 561; letters and, 566; lithography and, 587; merchants and, 613; metropolitan, 216-21; networks and, 190-209, 620; news agencies and, 177, 196, 198, 200, 212-13, 216, 220, 226, 232, 235, 281, 633, 635; nineteenth-century media technologies and, 177, 181; photocopiers and, 656-57; photography and, 181, 190, 196, 202, 204, 351, 635; photojournalism and, 195-99; platforms and, 667; political reporting and, 669-72; Press Association and, 635; privacy and, 687-89; proofreaders and, 711; public opinion and, 212-13, 216-22, 225-36; public sphere and, 715-16; Pulitzer and, 190; radio and, 635; Reid and, 634; role of, 633-35; scribes and, 549; search and, 267, 281; Stamp Act and, 634; stereotype printing and, 783–85; stringers and, 196, 208; Sunday Editions and, 196; telecommunications and, 807; telegraphs and, 177, 634; telephones and, 634; trademarks and, 520

newsreels, 742–43

Newton, Isaac, 400, 662 New Yorker magazine, 203–4

New York Gazette, 143-44

New York Herald Tribune, 200

New York Journal, 190, 217

New York Public Library, 244, 272, 276, 567, 815 New York Times, 152–53, 200, 207, 217, 225,

228-29, 282, 385, 500, 620, 690

New York World, 190, 217

Nguyen dynasty, 43, 51

Nguyên Vā Lém, 190

Niccoli, Niccolò, 64

Nicholas II, 652

Nicholas V, 64-65

Nicolai, Walter, 223

Niebuhr, Carsten, 822

Nielsen, A. C., 606

Niépce, Joseph Nicéphore, 655

Nietzsche, Friedrich, 446

Nikon, 206

Niles, Hezekiah, 233

Nippon Telegraph and Telephone, 186

Nixon, Richard M., 207-8, 618

Noah, 460-61

Nodier, Charles, 663

Noelle-Neumann, Elisabeth, 235

North, Douglass C., 612

Northrop, F. S. C., 384

notaries, 33, 108, 112, 152, 167–68, 305, 481, 549, 751

notebooks: codex and, 636; journals and, 636–38; merchants and, 637–38; papyrus and, 636; registers and, 315; role of, **636–40** 

note closet / literary closet, 81–82, 265, 282, 429, 639

NSFNET, 251

Nunberg, Geoffrey, 177, 275, 496-502

Nuremberg Chronicle, 74

observing: as art, 641–42; cases and, 364; correlation and, 641; Enlightenment and, 645; journals and, 643–44; Mass Observation Project and, 234–35; medicine and, 642–44; science and, 641, 466; systematics, 642; translating and, 642.

**641–46**; systematic, 643; translating and, 643 occult, 34–37, 321, 455, 670

Ochs, Adolph S., 217

Octavian, 13

Odo of Chateauroux, 772

Oettinger, Anthony, x

Office of Fact and Figures, 226–27

Office of Radio Research (ORR), 606

Office of Scientific Research and Development (OSRD), 265–66

Office of the Coordinator of Inter-American Affairs (CIAA), 227

Office of War Information (OWI), 223, 227

office practices: **647–51**; accounting and, 261 (*see also* accounting); in action, 648–51; computers and, 648–51; documents and, 169–72, 649–51 (*see also* documents); fax machines and, 648, 656, 807–8; Gregg shorthand system and, 647–48; index cards and, 171; marriage bar and, 171; photocopiers and, 648, 655–57; records and, 649; secretaries and, 647–51; studying, 647–48; surveilling and, 792; Taylor on, 170; teaching and, 647–51; telephones and, 648; typewriters and, 171, 251,

381, 567, 609, 647-48, 651, 655, 722, 756, 768

Oldenburg, Henry, 94 91, 129, 131, 137, 143, 198, 344, 438, 462–63, Olier, Charles Marie François, 100 530-31, 546, 590, 630, 669, 715, 756, 767 Pandectae (Gessner), 81-82, 353, 443, 557 On Ciphers (Alberti), 417 On Ecclesiastical Power (Gerson), 63-64 Panizzi, Anthony, 327 One Laptop Per Child (OLPC), 465-66 pantographs, 655 One Thousand and One Nights, 100-1 paper: books and, 17–19, 23, 27, 39–43, 48–49, 58, Ong, Walter, 579 62-66, 71, 77, 81, 107, 111-12, 117, 129, 133, 136-39, 143, 161, 261, 288, 290, 292, 295, 315, Online Computer Library Center (OCLC), 276-77, 327 329, 333-35, 337, 341, 353-54, 356, 367, 400, On Memory (Aristotle), 684 419, 444, 446, 450, 482, 543, 547, 549, 575, 592, On the Origin of Species (Darwin), 292 612, 636, 638-40, 677-78, 711, 761, 765, 783, On the Society of Jesus Fighting in All Parts of the 828–29; China and, 4, 16, 39–40, 49, 157, 196, World, 94 198–200, 334–35, 371, 436, 560, 592, 617, 678, Oosterhoff, Richard, 581 748, 828; Christians and, 18-19, 62, 333, 458, 549; Open Public Access Catalogs (OPACs), 394 fragile infrastructure of, 16–19; growing role of, 18-19; indulgences and, 65-66, 324, 374; Islamic Oppenheimer, J. Robert, 701 orality, 23-25, 696-97, 729 world and, 16-19, 27-29, 436, 482, 508, 591, Oration on the Dignity of Man (Pico della Mirandola), 748; Jews and, 18; literacy and, 414; making, 288 16-18, 39, 67, 592; maps and, 4, 17, 106, 129, 218, ORBIS project, 11 280, 471, 587, 594, 725, 734; mills for, 17-18, Orbis sensualium pictus (Comenius), 77, 398-99 27; money and, 371, 436, 616, 627; as revolution, Oreglia, Elisa, 464-68 27-29; silk and, 16, 40; Spain and, 17, 19, 27, Oresme, Nicholas, 617-18 62, 115, 126, 128, 138, 200, 290, 631 Origen, 330, 710, 760 Papias the Lombard, 492 Orwell, George, 711 \*papyrus, 13; books and, 333-34; bureaucracy Otis, James, 688 and, 27; cases and, 361; databases and, 393; Otlet, Paul, 244, 247, 250, 255–56, 265, 267, 447, Egypt and, 17; Europe and, 74, 78; forgery and, 15, 541, 639 463; inscriptions and, 508; letters and, 563; maps Ottoman, Dominique (Padre Ottomano), 102 and, 594; notebooks and, 636; publication and, 719; scribes and, 759; scrolls and, 748, 764-66 Ottomans, 35–36; documents and, 159, 165–66, 483; ethnography and, 439; governance and, Paradise Lost (Milton), 264 474, 477; inventories and, 527; lithography and, paratext/paratextual, 58, 74, 79, 337, 699, 711, 585, 587-88; money and, 617; networks and, \*parchment, 11; archivists and, 315; Bibles and, 86-88, 91, 95, 98-102; records and, 124; registers 15, 764; books and, 18, 333, 335; bureaucracy and, 750; teaching and, 803; technology and, and, 343; codex and, 748; databases and, 393; 178 Dead Sea Scrolls and, 764; durable quality of, Ovando, Juan de, 115 279, 760, 764; encrypting and, 419; Europe and, Ovid, 563, 637 62-66, 78, 106-7, 109, 111, 117, 122; forgery Oviedo, Gonzalo Fernández de, 437 and, 459, 462; illuminated manuscripts and, Oxford English Dictionary, 304, 388, 392, 496, 609 762; Islamic world and, 27; letters and, 563; palimpsests and, 23, 183, 657, 817, 838; professors and, 696; records and, 106-7, 109, 111, 117, 122; Pacioli, Luca, 288-89, 527 Packard, David W., 262-63 registers and, 752; scribes and, 17, 65, 78, 106, Páez de Castro, Juan, 115 343, 459, 563, 752, 760, 767; scrolls and, 764, Page, Larry, 259-62, 265, 267-68, 271, 277, 766-67; tracing, 655 279-80, 283 Paré, Ambroise, 591 Page, William, 70 Paris Convention, 516 PageRank, 260–63, 270 Pariser, Eli, 282 palimpsests, 23, 183, 657, 817, 838 Park, Katharine, 305 Pall Mall Gazette, 217 Park, Robert, 221 Palmerston, Lord, 409 Parshall, Peter, 683 Palmyra, 7 Parsons, Talcott, 355, 385 pamphlets: Europe and, 68–70; networks and, 91; Parthia, 5-7 passports, 152-54, 157-59, 165, 173, 413, 791 periodicals and, 129, 131, 137, 143; use of, 68-70,

Paston family, 83, 628 Peter the Venerable, 18 patents, 133, 176, 186, 229-30, 349, 366, 375-78, petitions, 121, 652-54 402, 514-22, 601, 706, 808-9 Petra, 5, 7 Paul, Apostle, 730, 766 Petrarch (Francesco Petrarca), 18, 64, 547, 564, Payne Fund, 606 721, 770 Pearl Harbor, 227 Petreius, Johannes, 18 Pearson, C. A., 198 Petri, Johann, 68 peddlers, 8, 190, 546 petroglyphs, 335 peer review, 428, 531-33, 695 Petronius, 460, 660 Péguy, Charles, 429 Pettegree, Andrew, 131, 136, 633-35 Peiresc, Nicolas Fabri de, 83, 99 Petty, William, 118-19, 140 Peking Gazette, 415 Peutinger, Conrad, 76 Pentagon Papers, 207–8 Peutinger Table, 824 Pentland, Joseph Barclay, 625 Phaedrus (Plato), 428 People's Computer Company, 703 Phalaris, 563 periodicals: advertising and, 128, 137, 141, 143-45; Phantom Public (Lippmann), 224 affiches and, 141; Catholics and, 137; censorship Philadelphia Negro, The (newspaper), 218, 797 Phileros, 512 and, 130–31, 137–38, 143–46; China and, 149; commercialization and, 128-50, 375; Enlighten-Philip II, 110, 114-16, 472, 643 ment and, 131, 141-42, 148, 150; French Revolu-Phillipps, Thomas, 462 tion and, 148-50; Japan and, 149; journals and, Phillips, Edward, 580 128 (see also journals); libraries and, 136, 149; Philodemus, 709, 719 literacy and, 130, 139; money and, 129, 132, Philo of Byblos, 747 143, 145, 532; networks and, 144-47; newsletters Philosophical Transactions of the Royal Society and, 129-31, 135-38, 149 (see also newspapers); (Oldenburg), 140, 144, 530, 644, 822 postal service and, 135-39; printing and, Philosophus Autodidactus (Ibn Tufayl), 558 129-32, 138-49; publicity and, 131-35; Spain Philostratus, 563 phonographs, 174, 186-89, 688, 739-44, 756, 792 and, 128, 138; Stationer's Company and, 70, 138, 143-44, 518-19, 662, 670; translating and, photocopiers, 648, 655-57 photography, 306, 540; art works and, 234; cameras 145-49; urban health and, 131-35 and, 349-52; celebrities and, 184; China and, Periplus [Coasting Voyage] of the Erythraean Sea, 8-10 184-85; collodion process and, 350; daguerreo-Perotti, Niccolò, 711 types and, 181, 349-50, 655, 792; development Perpetuité de la foi (Antoine Arnauld), 100 of, 181-85; domestication of, 181; Erwin and, Perry, Matthew, 186 182; heliography and, 181; impact of, 602-3, Persia: Alexander the Great and, 5; algorithms and, 786, 825, 830; Kodak and, 181, 195, 351, 300–1; Asian scholars and, 59–60; astronomy 656-57, 689; Muybridge and, 185; networks and, 59; cases and, 362; Chardin and, 96; and, 195-96, 201-2, 208; newspapers and, 181, Christians and, 7; dead languages and, 309; 190, 196, 202, 204, 351, 635; nineteenth-century diplomats/spies and, 407; ethnography and, technology and, 174-75, 181-85; personal 435, 438; forgery and, 460; gramaphones and, identity and, 158-59; printed visuals and, 684; 188; House of Wisdom and, 300; inscriptions privacy and, 689; surveilling and, 792; wire and, 510-11; Islamic world and, 21-28, 33-35; transmission of, 190 lithography and, 584, 587; money and, 616; photojournalism, 181, 190-91, 195-99, 202 networks and, 96, 99-101; newsletters and, 630; photolithography, 586-87, 657 observing and, 642; Peiresc and, 99; photographotoshopping, 208, 667 phy and, 182; postal service and, 673-74; silk Photostat, 655-57 and, 7-8; spies and, 407-8; translating and, 823 Physical Review journal, 532 Persian Gulf, 7, 177–78 Piaget, Jean, 556 Persons, Warren, 454 Piccolpasso, Cipriano, 592 Pesce, Luigi, 182 Pico della Mirandola, Giovanni, 288, 321 Peter of Poitiers, 766-67 "Pies of Salisbury", 754, 758 Peter of Spain, 319 Pietersz, Claes, 290 Pietruska, Jamie L., 452-56 Peters, Benjamin, 253

Pigafetta, Antonio, 436-37, 477

Piles, Roger de, 679 Pinakes (Callimachus), 326, 492 Pindar, 719 Piper, Andrew, 402-6 Piso, Willem, 817 Pitman, Isaac, and his system, 648, 768 Pitts, Walter, 384 Pius II, 436-37 Pizan, Christine, de, 18-19 Placcius, Vincent, 81-82, 265, 267, 270, 282, 639 plagiarizing: Catholics and, 660; censorship and, 662; Christians and, 660; computers and, 664; Copyright Act and, 662; effects of, 659-64; Jesuits and, 663; Licensing Act and, 662; printing and, 660, 662, 664; translating and, 662-63 Plantin, Christophe, 560, 679 platforms: advertising and, 666; internet, 665-68; propaganda and, 666; social media and, 778-79 Plato, 265, 428, 720 Pleasure and Utility of Newspapers, The (Stieler), 139 Pliny the Elder, 8, 10, 76, 78, 333, 491-94, 598, 636, 642, 729–31, 747, 759, 765, 770 Pliny the Younger, 13-14, 441, 563, 709 Plomin, Robert, 523 Plot, Robert, 638 Pocock, Edward, 99, 558 Pocock, J. G. A., 287 Policraticus (John of Salisbury), 459–60 political reporting, 669–72 Polo, Marco, 436, 618 Polygraphiae Libri Sex (Trithemius), 419 Polyhistor (Morhof), 443 \*polymaths, 24-25, 30, 83, 99, 308, 327, 353, 419, 422, 439, 443, 445, 486–88, 541–44, 826 Pomata, Gianna, 364 Pondicherry, 10 Pope, Alexander, 330, 494, 659, 662–63, 670 Popham, Home, 806–7 Popp, Richard K., 601-6 population growth, 40, 140, 545 Porstmann, Walter, 447 Portugal, 69, 549; advertising and, 500; Asia and, 56; censorship and, 368; charters and, 111-12; documents and, 159; ethnography and, 435-39; Leitura Nova and, 111-12, 752; Manuel I and, 111; maps and, 599; networks and, 86, 89, 92-96, 194; periodicals and, 137; printing and, 69, 96, 518; records and, 111-12, 118; translating and, 814-15, 818 Possevino, Antonio, 341 postal service: capitalism and, 673; China and, 673; Dead Letter Office and, 675; fixed residence

and, 675-76; merchants and, 673-76; news and, 12, 129-32, 135-39, 148, 150, 505, 628-30, 674; periodicals and, 135-39 (see also periodicals); Persia and, 673-74; print and, 83-85; propaganda and, 192; telegraphs and, 214, 675; telephones and, 675; various models of, 673-76; Victorian "internet" and, 214; Western Europe, 63 Postel, Guillaume, 461 Postel-Vinay, Gilles, 167 Precious Record of Benevolent Neighbor States (Zuikei Shūhō), 58 Prejudices and Antipathies: A Tract on the LC Subject Heads concerning People (Berman), 328–29 Preliminary Discourse on the Study of Natural Philosophy (Herschel), 645 Press Association, 635 Price, John, 459 Priestley, Mark, 704 printed visuals: China and, 678; development and use of, 677-85; diagrams and, 678 (see also diagrams); Europe and, 678-79; Gutenberg and, 677; photography and, 684; woodblocks and, 677-78 printing, viii; advertising and, 74, 143, 145, 339, 351, 688–89, 711, 754, 784; art of memory and, 320; bibliography and, 327-30; books and, 334, 339–42, 748; cameras and, 351; capitalism and, 584; Catholics and, 678; censorship and, 70-71, 366, 662; China and, 16, 38, 40-44, 52, 198, 439, 504, 542, 560, 585–88, 618, 678, 828–30; color, 78; commodification and, 376; communication and, 238, 240; diagrams and, 397; encrypting/decrypting and, 417–19, 421; error and, 424, 428; ethnography and, 437, 439; Europe and, 19, 61-78, 82-84, 327; excerpting and, 442-43; Google Print and, 274; Gutenberg and, 19, 64-68, 74, 174, 240, 417, 421, 542, 677, 711-12; hot metal, 199; illustration & images, 78–79; impacts of, 71–75; information policy and, 504; intellectual property and, 518-19; Islamic world and, 72, 83, 585; Italian, 68; Japan and, 16, 38, 40–44, 48, 52, 504, 542, 585, 587-88, 678, 828-30; job, 68-69; journalism and, 351 (see also journalism); Korea and, 16, 41, 52, 334, 542, 678, 828-29; letterpress and, 40, 175, 334, 560-62, 584, 655, 783, 830; letters and, 564; libraries and, 567, 577; linotpye, 193,

561; literacy and, 414, 417; lithography and,

583-88; manuals and, 590; maps and, 595;

movable type and, 19, 41, 45, 56, 61, 67, 263, 283, 417, 504, 542, 560, 583–88, 660, 662, 677–78,

685, 709-10, 720, 732, 828; networks and, 96,

193, 198, 207, 627; newsletters and, 630–31;

merchants and, 612-13; money and, 618;

newspapers and, 561 (see also newspapers); office practices and, 658; on rolls, 193; periodicals and, 129-32, 138-49; photocopiers and, 655-57; plagiarizing and, 660, 662, 664; political reporting and, 669-71; portable presses and, 69-70; Portugal and, 69, 96, 518; privacy and, 688-89; proofreaders and, 709-11; publication and, 720-21; records and, 106, 116; regulation of, 69-71; sales catalogs and, 339-42, 754, 757; scribes and, 761; search and, 275-76, 787; Spain and, 19, 62, 83, 138, 518, 595, 631, 816; Stationer's Company and, 70, 138, 143–44, 518–19, 662, 670; steam, 688; stereotype, 783–85; surveilling and, 791; technology and, 174-77, 181, 184; translating and, 815-18; typography and, 61, 65-71, 336, 586, 783-84, 828, 830; woodblock, 16, 39-42, 53, 55, 66-67, 78, 181, 193, 334–35, 503, 586, 677–78, 815, 828–30 (see also xylography)

privacy: advertising and, 688–89, 692; All Writs Act and, 687; Cambridge Analytica and, 281–82; cameras and, 689, 691–92; capitalism and, 686, 691; Catholics and, 688; computers and, 687, 689–93; confessionals and, 688; Facebook and, 281; Fourth Amendment and, 686; importance of, 686–93; journalism and, 686, 689, 691; letters and, 565; Miller on, 689–91; news and, 689, 691; obfuscation and, 692; photography and, 689; right to, 686–93; Snowden and, 281; surveillance and, 686, 692; telephones and, 687–88; WikiLeaks and, 692

privateers, 91

Procopius, 8

professors: equipment and, 697–99; information in the world and, 700–1; lectures and, 695–97; para-information and, 699–700; teaching and, 694–701; traditional vs. modern, 694–95

Programmed Inequality (Hicks), 247

programming: automatic, 707–8; complexity and, 706–7; computers and, **703–8**; Dijkstra on, 703–4, 708; free software and, 704–5; historical perspective on, 703–8; machine learning and, 271, 386, 388, 404, 556, 707–8; materiality/immateriality and, 705–6; of people, 705; security and, 706–7

Project Cybersyn, 385

Project Gutenberg, 712

Prokop, 482

Prony, Gaspar de, 240-41, 243, 254

proofreaders: China and, 710; Christians and, 709–10; codex and, 710; digitization and, 711–12; error and, 67, 424, 428–29, **709–12**, 829; Europe and, 710; Japan and, 710; monks and, 710; newspapers and, 711

propaganda: Catholics and, 221-22; censorship and, 222-23; Committee on Public Information (CPI) and, 222, 226; Dewey and, 224-25; fake news and, 225, 258, 463, 498-500; government and, 220-28, 479, 506, 669; Great War and, 198, 221–28; Hungarian uprising and, 212, 220, 236; Lasswell on, 223-24; Lippmann and, 224–25, 227–28; maps and, 594, 596; Ministry of Public Enlightenment and Propaganda and, 225-26; Nazis and, 202, 213, 219-20, 225-27, 232-35; Office of War Information and, 223; platforms and, 666; postal service and, 192; postwar Germany and, 224; psychology and, 224, 227, 233-34; public opinion and, 212-13, 220–28, 232–33, 236, 258, 463, 498–500, 502; radio and, 226-27; Sacra Congregatio de Propaganda Fide, 221-22; social media and, 781; Soviet Union and, 225-28; surveillance and, 507

Propaganda (Bernays), 224

Propaganda Technique in the World War (Lasswell), 223–24

Propertius, 660

Protestant Reformation, 61, 73, 88, 92, 118, 324, 367–68, 504, 546, 556, 643, 677, 728, 751, 802, 804

Prynne, William, 70

Psalmanazar, George, 461

Psellos, Michael, 694

Psychological Review journal, 531

psychology, 224, 227, 233–34, 245–46, 318, 383, 501, 523–25, 531, 606, 698, 714, 780, 794, 798 Ptolemy, Claudius, 14, 28, 598–99, 642–43, 748, 820–22, 824

publicity/publication: **718–23**; advertising and, 719, 754–55, 758; advertising and, 606 (*see also* advertising); commercialization and, 131–35; Cooley and, 211–12, 603; Gerson and, 63–64; Hungarian uprising and, 212, 220, 236; radio and, 718, 721; motives for, 63; *Titanic* disaster and, 211–12, 218, 229–30, 235–36

public libraries. See libraries

public opinion: Bernays on, 224; capitalism and, 234; Catholics and, 219, 221; censorship and, 215, 222–23; crisis of democracy and, 231–35; Enlightenment and, 211–12, 225, 234, 236; information infrastructure and, 213–16; Japan and, 213–14; Jews and, 202, 219, 223, 233–35; journalism and, 213–14, 217–33; merchants and, 211, 213, 228; news and, 212–13, 216–36, 384; propaganda and, 212–13, 220–28, 232–33, 236, 258, 463, 498–500, 502; psychology and, 224, 227, 233–34; radio and, 212–13, 221, 226–36; telegraphs and, 212–16; telephones and, 220, 229; translating and, 223, 235

Public Opinion magazine, 233
public sphere, **713–17**Publius Petronius, 15
Publius Vexonius Phileros, 512
Pulakesin II, 509
Pulitzer, Joseph, 190, 201, 217, 219
punched cards, 241, 248, 394–95, 561
Putnam, Herbert, 328
Putsch, Wilhelm, 752
Pythagoreans, 26, 35–36, 321, 397

Qianlong emperor, 55
Qi Biaojia, 58
Qin dynasty, 4, 472, 504
Qing Document Register, 751
Qing dynasty, 40, 44, 46–49, 51, 55, 179–80, 184, 359, 475, 653, 750, 829
Quakers, 73, 305, 775
quantification, 402–6, **724–26**questionnaires, 75, 80, 87, 95–97, 167, 306, 433, 475, 539, 597, 638, 643, 796, 798, 820–22
Quintianus, 650
Quintilian, 64, 636, 730, 770
Quran, 17, 19, 23–24, 29

race, 130, 153, 158, 165, 172, 302, 394-95, 455, 724–25, 741, 756, 793–95, 815 racism, 152-55, 463, 781 radio: communication and, 244, 807; democracy and, 231–35; globalization and, 464; Hungarian uprising and, 212, 220, 236; impact of, 306, 497, 499, 550, 604, 606, 756, 807-8; information policy and, 505-6; Marconi and, 228-30; networks and, 199, 204, 206, 620; newspapers and, 635; Office of Radio Research (ORR) and, 606; platforms and, 667; propaganda and, 226–27; publicity and, 718, 721; public opinion and, 212-13, 221, 226-36; sermons and, 775; surveilling and, 779; telegraphs and, 809–10; walkie-talkies and, 811 Radio Free Europe, 236 railroads, 175-77, 213, 219, 725, 807 Raimondi, Marcantonio, 679

Raimondi, Marcantonio, 679
Rammingen, Jacob von, 314, 481
Ramus, Peter, 320, 679
Ramusio, Giovanni Battista, 437–38
RAND corporation, 207, 248–49, 392, 456
Ratdolt, Erhard, 397
Ratio Studiorium, 801
Rauschert, Jeanette, 109
Raven, James, 333–38
Raymond, Joad, 131, 628–31
Raynal, Guillaume Thomas François, 817–18
Raynouard, François-Juste-Marie, 404

readers: authorial relationships and, 728-32; books and, 336-37 (see also books); cases and, 363; color manuscripts and, 72; digitization and, 402; documentary authority and, 415; ethnography and, 440; excerpting and, 443; governance and, 471; indexing and, 493-94; inscriptions and, 512; journals and, 533; judgment of, 75, 79-80, 102, 443, 728; letters and, 565; libraries and, 569; lists and, 579; maps and, 595; networks and, 193, 195, 202-3; newsletters and, 628-29; periodicals and, 140-41, 144, 149; plagiarizing and, 661; printing and, 73; professors and, 695-96; public opinion and, 220; role of, 728-33; sales catalogs and, 756, 758; search and, 261, 264, 269, 271, 278, 282-83; source material and, 79; translating and, 816 Reader's Digest, 402

reading against the grain, **734–38** recording: commercialization and, 296, 742–43; copyright and, 743; digital, 744–45; DJs and, 743; Edison and, 739–42; fidelity and, 741–44; gramophones and, 186, 188–89; intellectual property and, 743–44; magnetic tape and, 743–44; stereo, 742; phonographs and, 174, 186–89, 688, **739–44**, 756, 792

records: access to, 111-14; accounting and, 117; architecture of keeping, 110-11; archivists and, 110, 113, 116, 121, 124-26; bureaucracy and, 116-17; cadasters and, 108, 111, 118-20, 126; Catholics and, 118; census, 12–13, 37, 49, 108, 111, 117-20, 126, 164-66, 171, 242-43, 260, 394-95, 413-14, 483, 497, 504-5, 528, 541, 550, 602, 605-6, 726, 791, 793, 796-98; chancelleries and, 107-22, 125; charters and, 18, 71, 106-15, 123, 133, 162, 229, 273, 283, 459, 479-81, 614, 653, 751–52; Christians and, 110; concordances and, 107; continuities and, 106-7; cultural contexts and, 104-5; Europe and, 104-26; files and, 449–51; formal information frameworks and, 105-6; framing for, 105-6; Habsburgs and, 111-12; inscriptions and, 106-7; Leitura Nova and, 111-12, 752; libraries and, 115, 125; maps and, 106, 114-20; medieval view of, 108-10; merchants and, 106, 121; money and, 108, 110, 117-18; office practices and, 649; organization of, 111-14; Ottomans and, 124; parchment and, 106-7, 109, 111, 117, 122; political information and, 122; printing and, 106, 116; registers and, 107, 109, 111-13, 117-22, 126, 752; secretaries and, 107, 109, 113, 121, 123-25; sites of change and, 106-7; Spain and, 111-15, 124, 126; surveilling and, 794-95; translating and, 124; truth and, 123-25; xylography and, 828-30 Recta Transpositionis Tabula (Trithemius), 419

Red Sea, 5, 8–9, 178, 808 Reed, Christopher, 584 reference books, **746–49** 

registers: **750–53**; archival, 117; cartularies and, 480; cases and, 364; censorship and, 366; codex and, 750; East Asia and, 48–49, 57–58; graphic, 537; guilds and, 481; inventies and, 17; Islamic world and, 29, 32; judicial, 482; land ownership and, 12; lists and, 580–81; notebooks and, 315; passports and, 165; patent, 517; population, 796; records and, 107, 109, 111–13, 117–22, 126, 752; role of, 750–53; scrolls and, 767; social, 581; specialized, 481; tax, 413; trademark, 522; transaction-file, 120; weather, 637

Reid, Whitelaw, 634 Reinhold, Erasmus, 18 Reith, John, 231

Relaciones Geográficas, 115–18, 597, 821 Relaciones Topográficas, 97, 115–18, 597

Remington, Frederic, 190 Remington typewriters, 768 Remond, Sarah, 152–54 Renaudot, Théophraste, 143

\*Repertory of the Times (Martin Heinrich), 87

\*Republic of Letters, 565; archivists and, 316; cases and, 364; error and, 430; ethnography and, 438–39; Europe and, 83, 105; excerpting and, 444; governance and, 476; Islamic world and, 35; knowledge and, 538; learning and, 556; networks and, 622, 625; periodicals and, 139–40, 143–45; postal service and, 673; proofreaders and, 710; travel and, 822, 826

Reuters, 178, 194, 208–9, 505, 603, 635

Reuter, Julius de, 194

Reymerswaele, Marinus van, 290

Reynolds, Suzanne, 731 Rheede, Hendrik van, 542–43 Rhenanus, Beatus, 461 Ribeiro, Diogo, 438 Ricci, Matteo, 322 Richard, Jules, 182

Richard of Stavensby, 493 Richardson, Jane S., 400 Richardson, Samuel, 608, 714

Ricks, Christopher, 659

Riis, Jacob, 195

Rinieri Fini brothers, 288

Ripley, George, 767 Rivero, Mariano Eduardo de, 535 Roberts, Lawrence, 249–51 Robertson, Craig, 152–73, 449–51 *Robinson Crusoe* (Defoe), 291 Rochester, George, 201

Rochester, George, 201 Rockefeller Foundation, 234 Rogers, Walter S., 222, 230-31

Roget, Peter Mark, and his Thesaurus, 430, 747

rolls. *See* scrolls Romer, Paul, 464 Rommel, Erwin, 202

Roosevelt, Franklin Delano, 226-27

Roosevelt, Theodore, 218

Rosa, Mario, 316

Rosenberg, Daniel, 259–83, 387–91 Rosenblueth, Arturo, 383–84

Rosenthal, Caitlin, 170 Rosenthal, Jean-Laurent, 167

Rosetta Disk, 279 Rosetta Stone, 308–9

Rousseau, Jean-Jacques, 558, 714 Routes of Insight into the Civilized Realms

(al-ʿUmarī), 31 Rowlett, Frank, 422 Rowley, Thomas, 462

Royal Exchange, 90, 140, 549 Royal Polyglot bible, 679

Royal Society, 94, 140, 144, 291, 445, 447, 530,

558, 643–44, 822 Rubiés, Joan-Pau, 433–40

Rück, Peter, 113 Rudd, Annie, 349–52 Ruge, Willi, 202 Rule, John, 291 Rusk, Bruce, 828–30 Russell, Andrew, 253

Russel-Soundex system, 649 Russian Revolution, 225

Russo-Japanese War, 194

Sabbath, 458, 775 Sachet, Paolo, 709–12

Sacra Congregatio de Propaganda Fide, 221-22

Safavid Empire, 36, 88, 91

SafeSearch, 280 Safier, Neil, 813–19 Saga domain, 55

Sahagún, Bernardino de, 438, 816–17 Saigon Execution (Adams), 205 St. Bernardino of Siena, 319 St. Jacques Concordance, 493

St. Louis Post-Dispatch, 217 St. Martins, 710 sales catalogs, **754–58** 

Salinero, Gregorio, 579-80

Samarkand, 5, 17 Samsung, 640 Samuel, Ian, 687

Sanskrit, 7, 43, 334, 408, 747 Santangle, Luis de, 815 Saramago, 711 Sasanian Empire, 25, 27-28, 512 satellites, 810–11 Saturday Evening Post, 200, 295 Saussy, Haun, 308-11 Savonarola, Girolamo, 773 Savonarola, Michele, 363 Scaliger, Joseph, 461, 698, 826 Scandex, 402 Scherbius, Arthur, 417 Scheutz, Edvard, 241 Scheutz, Georg, 241 schismogenesis, 382-83, 386 Schjellerup, H.C.F.C., 180 Schmandt-Besserat, Denise, 724 Schmitt, Carl, 225 Scholarship, Commerce, and Religion (Maclean), 429 Scholastic Aptitude Test (SAT), 525 Schomburgk, Robert Herman, 599 Schongauer, Martin, 679 School Establishing Act, 804 Schreiner, Erin, 647-51, 754-58 Schrettinger, Martin, 787 Schwartz, Anna, 618 Schwartz, Kathryn A., 583–88 science, 51-53, 84; advertising and, 500; amateur, 645-46; Enlightenment and, 35, 118, 273, 433, 645, 690, 693; European information explosion and, 76-83; forecasting and, 452-56; humanities and, 543-44; journals for, 139-42, 239-41, 402-3, 542; National Science Foundation (NSF) and, 247, 251; observing and, 641-46; quantification and, 724-26; Royal Society and, 94, 140, 144, 291, 445, 447, 530, 558, 643-44, 822 Scientific American journal, 239-41, 402-3, 542 Scott, James C., 106 Scott, Léon, 739 Scott, Walter, 458 scribes: alphabetic systems and, 759; calligraphy and, 34, 111, 539, 552-53, 584, 590, 766, 830; Christians and, 760; copying and, 759-63; cursive scripts and, 761; documents and, 759-63; Egypt and, 759; Jews and, 761; logographic systems and, 759; need for, 71; newspapers and, 549; papyrus and, 759; parchment and, 17, 65, 78, 106, 343, 459, 563, 752, 760, 767; printing and,

Trithemius on, 327 Scribner's Magazine, 195

scrolls: Christians and, 764; codex and, 764, 766-67; historical perspective on, 764-67; impact of, 764-67; Japan and, 764, 766; Jews and, 765-66; papyrus and, 748, 764-66; parchment and, 764, 766-67; registers and, 767; translating and, 765

761; proofreaders and, 710; role of, 759-63;

search: 259-84; accounting and, 261; advertising and, 259, 271, 279-80; algorithms and, 257-61, 271, 275, 278, 280–83, 298; AskJeeves and, 279; Baidu and, 271, 298; Bible and, 263-64; Bush and, 265-70, 282-83; censuses and, 242-43, 260; China and, 271; Christians and, 264; classification and, 787-88; codex and, 283, 786; computers and, 261-66, 270, 272, 275-79; concordances and, 263-64, 283; cookies and, 757–58; crawlers and, 259; Enlightenment and, 270, 273; excerpting and, 445-46; Google and, 259-67, 271-83, 757; indexing and, 260, 263-64, 267, 277, 787-88; Jews and, 263, 265, 280; journals and, 266-67, 270; Keyword-in-Context (KWIC) and, 264; libraries and, 265-78, 283; Luhn and, 263-64, 267, 270-71; maps and, 261, 264, 280, 282, 788; money and, 272; news and, 279, 282; newspapers and, 267, 281; printing and, 275–76, 787; storage and, **786–88**; telephones and, 281; translating and, 266, 268, 277; Yandex and, 271 Searchinger, Cesar, 226

Sears-Roebuck, 755-56

secretaries: archivists and, 113, 121, 124-26, 313, 315; chancery, 409; court, 139; of defense, 206; different names for, 769; Europe and, 72, 77; governance and, 471, 482; Islamic world and, 25, 28, 32-33; letters and, 72, 99, 138, 563-64; manuals and, 590; National Secretaries Day and, 769; networks and, 98-99; office practices and, 647–51; papal, 64, 417–18; Pitman's system and, 768; records and, 107, 109, 113, 121, 123-25; role of, 768-71; Royal Society and, 94, 644; sermons and, 773; of state, 138, 153, 215, 768; typewriters and, 171, 251, 381, 567, 609, 647-48, 651, 655, 722, 756, 768; writing skills and, 762 Secretary's Handbook (Prentice-Hall), 648-49

Secrets of Crewe House (Campbell), 223

Seiri, Koga, 55

Sejong, King, 44

Selden, John, 459

sempahore, 546

Seneca, 12, 637, 661, 709

Senefelder, Johann Alois, 583-84, 587

Sepkoski, David, 393-96

Sermon on the Mount, 773

sermons: bells and, 323; Bible and, 772-73; Center for the Informatization of Industry and, 253; Christians and, 773, 775; diagrams and, 773–75; impact of, 772-76; indexing and, 494; Islamic world and, 772, 776; Jews and, 775; radio and, 775; secretaries and, 773; translating and, 773, 775

Servius, 732

Small, Daniel Lord, 527-29

sestertii, 372

Seven Years' War, 145

Sextus Empiricus, 642–43 Sforza, Bianca, 83 Shakespeare, 98, 264, 328, 331, 422, 462, 662, 688, 711, 769 Shalev, Zur, 820-27 Shang dynasty, 38, 470 Shannon, Claude: cybernetics and, 385; information theory and, x, 104, 185-86, 245-56, 383, 385, 403-4, 503, 602, 725; mathematical theory of communication and, 245-56, 403-4; quantification and, 725; system diagrams of, 254 Shapin, Steven, 693 Shapur I, 512 Sheehan, Neil, 207 Shelton, Robert, 275 Shenbao, 199 Shepard, David H., 402 Sherman, William H., 417-23, 732 \*Shorthand, 647-48, 671, 762, 768, 773, 775. See also Pitman, Gregg Shōtoku, 829 Shu, 7 Shizhen, Li, 51–52 Shōheizaka Academy, 55 Sicard, Claude, 822 Sigaba, 422 Sigismund, 108-9 Signals for the Use of Vessels Employed in the Merchant Service (Marryat), 807 Silicon Valley, 238, 252, 298, 386, 411, 503, 605, 780 silk: books and, 341; China and, 4-8, 334; manuals and, 590; paper and, 16, 40; patents and, 515; Persia and, 7–8; punched cards and, 241; Silk Road and, viii, 3-10, 16, 611; spice trade and, 4-10; writing on, 334, 551 Sima Qian, 360 Simler, Josias, 733 Simon, Herbert, 707 Simon, Théodore, 523, 525 Simonides, Constantine, 462 Sincere Brethren, 26 Sinclair, John, 797 Sinclair, Upton, 218, 221 Sinitic culture, 38, 43-45, 52, 60, 829 Siri, 298 Six Degrees of Kevin Bacon, 622 Slauter, Will, 128-50, 375 slips (paper, for ordering) 77, 81-82, 107, 270, 353-56, 393, 444-47, 543, 561, 575, 567, 570, 638-39, 698, 700, 711, 776, 787 Sloane, Hans, 77

Smalltalk, 247 Smith, Adam, 238, 241, 291, 620 Smith, Margaret, 494 SMTP, 251 Snowden, Edward, 281, 666 Social History of Knowledge (Burke), x social media: advertising and, 778-81; algorithms and, 287, 298-99, 777-81 computers and, 778-80; Facebook, 272, 280-81, 296-99, 376, 501, 605, 653, 691, 777-82; Friendster and, 780; Google, 605; Grindr, 287, 298; impact of, **777–82**; Instagram, 296, 777-78, 782; journalism and, 781; maps and, 779; Match, 298; MySpace, 780; platforms and, 665-68, 778-79; politics and, 781-82; propaganda and, 781; surveilling and, 779; Tinder, 298-99; Twitter, 296, 338, 411-12, 667, 777-78, 781; WhatsApp, 778, 781-82 social network theory (SNT), 624-26 Social Security Administration, 649 Society for the Diffusion of Useful Knowledge, Society of American Archivists, 317 Society of Friends, 305 Society of Jesus have moistened the whole World with their Sweat, 94 Socrates, 265, 268, 563, 765 Sō family, 48 Software Lexicon (Fuller), 705-6 Sogdians, 5–8 Soll, Jacob, 287-92 Sommerville, C. John, 131 Song dynasty, 16, 38, 40, 42-43, 53, 359-60, 414, 503-4, 678, 829 Song Yingxing, 592 Sood, Gagan, 95 SoRelle, Rupert P., 647 Soriano, Cristina, 149 Sousa, John Philip, 741 Sousa Coutinho, Dom Rodrigo de, 818 Southern Associated Press, 194, 200, 216, 603 Soviet Union, 200, 202, 213, 225–28, 236, 266, 384–85, 411, 464, 635, 810 Spain, 341; archivists and, 314; bureaucracy and, 347; censorship and, 368; Charles V and, 112; Cuba and, 190; documents and, 159; Franco and, 200; inscriptions and, 511; intellectual property and, 518; Islamic world and, 17, 27; journals and, 530; lists and, 581; maps and, 595, 597, 599; merchants and, 613; Moorish, 302; networks and, 87, 93, 97–98, 194, 200; New, 87, 438, 440; newsletters and, 631; observing and, 643; paper and, 17, 19, 27, 62, 115, 126, 128, 138, 200, 290, 631; periodicals and, 128, 138; Philip II and,

Spain (continued) Steele, Richard, 141 114-16; postal service and, 674; printing and, Steffens, Lincoln, 218 steganography, 420-22. See also encrypting/ 19, 62, 83, 138, 518, 595, 631, 816; records and, 111-16, 124, 126; reference books and, 748; decrypting social media and, 781; teaching and, 801-2; Steiner, Benjamin, 470-78 telecommunication and, 806; translating and, Steiner, George, 711 816-18; travel and, 821 Stenhouse, William, 508-13 spam, 271, 279-80, 692 stereotype printing, 783-85 Spanish-American War, 190 Stern, William, 525 Spectator journal, 141, 143, 147 Sternberg, Giora, 553 Speculum historiale, 74–75 Sterne, Jonathan, 721-22 Speculum maius, 74 Stern-Rubarth, Edgar, 223 Spenser, Edmund, 770 Steuco, Agostino, 459 spice: China and, 3-4, 6, 541; Dutch East India Stieber, Wilhelm, 409 Company and, 89–90, 290, 476, 482, 541, 614; Stieler, Kaspar von, 139 Islamic world and, 32; networks and, 3-10, 89, Stock, Brian, 721 96; price of, 541; Spice Route and, 3-10 Stokes, Ethel, 317 spies. See diplomats storage: albums and, 294-96; classification and, 787-88; codex and, 786; dynamic collections Spiral of Silence, The:—Our Social Skin (Noelle-Neumann), 235 and, 787; files and, 449-51; indexing and, 787-88; Spitz, Malte, 281 museums and, 76-77, 184, 299, 304, 329, 377, Sports Illustrated magazine, 202 517, 537-40, 554, 567, 573, 580, 636, 826; Springer Nature, 533 ordered, 786-87; scale and, 788; search and, Sputnik, 810 786-88; terminology and, 788; unordered, Squires, James Duane, 226 786–87. See also libraries Stahl, Alan M., 370-73 Stow, William, 548 Stalin, Joseph, 685 Strabo, 709 Stallybrass, Peter, 766 Strassberg, Bernard, 250 Stamp Act Crisis, 634 Striphas, Ted, 298-302 Stanford-Binet intelligence test, 525 Strong, James, 264 Structural Transformation of the Public Sphere, The Stanford Linear Accelerator laboratory (SLAC), 252 Stanford Research Institute, 249 (Habermas), 235, 713 Stanton, Frank, 606 Stubbs, John, 70 Staple of News, The (Jonson), 129 Sudan, 166 Stationers' Company, 70, 138, 143, 144, 518-19, Sue, Eugène, 663 662, 670 Suez Canal, 159 Statistical Account of Scotland (Sinclair), 797 Suizan, Uemura, 585 Statistical Account of Bengal (Hunter), 164 Sunpu library, 47 statistics: appraising and, 305; censuses and, 242 Sura, 11 (see also censuses); documents and, 163-65, surveilling: 790-95; capitalism and, 791; 171-72; error and, 431; forecasting and, 454; classification and, 793-94; communication governance and, 474, 541; Hacking on, 497; and, 792; digital, 790-91; historical contexts and, periodicals and, 133; programming and, 708; 790-91; identification and, 791-93; photography and, 792; radio and, 779; records and, records and, 108, 111, 116; registers and, 746; search and, 282; as state information, 108, 111, 794-95 116; surveilling and, 794, 796, 798 Survey of Consumer Attitudes, 798 Statistics of the Colonies of the British Empire Survey Research Center and Institute of Social (Martin), 163 Research, 798 Statius, 64 surveys: censuses and, 796-98 (see also censuses); Statute of Anne, 519 Mass-Observation project and, 798; National Statute of Monopolies, 515–16 Election Study and, 798; National Opinion Stead, W. T., 217-18 Research Center and, 798; role of, 796-98; steam power, 159, 192, 213, 241, 382-83, 400, telephones and, 606, 791-92 Swift, Jonathan, 71, 460, 637, 824 542, 561, 587, 614, 617, 634, 688, 748, 783

switching, 247–50

Sydney Gazette, 635

Sylvester I, 459

Syria, 7, 11, 15; Diyab and, 100–1; fourteenthcentury, 29–34; Mamluks and, 22; networks and,
89, 99–101; Ottomans and, 166; Peiresc and, 99;
photocoyping and, 655; seaweed of, 304; social
media and, 781; Ugaritic alphabet and, 491

Systema naturae (Linnaeus), 393

System: The Magazine of Business, 171

Table Méthodique (Brunet), 327
table of contents, 26, 74, 276, 356, 491–94, 572
Tabulating Machine Company, 242, 446
Ta-hia, 7
Talbot, Henry Fox, 349–50
Talbot, William Fox, 562
Tale of Genji, 43–44
Taliban, 547
Talmud, 11, 367, 504
Tamerlane, 34
Tamil region, 161
Tandy Corporation, 640
Tang dynasty, 7, 16, 39–40, 46–47, 53, 335, 359,

Tangut Xixia, 43, 44
Tanselle, Thomas, 331
Tanzimat, 166
Tappen, Lewis, 168
Tarbell, Ida, 218
Tasman, Paul, 262–63, 334–35, 764
TASS, 200, 206
Tate, Vernon D., 656

800

Tate, Vernon D., 656
Taxis family, 83–84
Taylor, Charles, 715
Taylor, Frederick, 170, 243
Taylor, Jordan E., 148
Taylor, Robert, 249, 251, 254
Ta-Yuan, 6–7
TCP/IP standards, 250, 252

teaching: algorithms and, 805; Catholics and, 801; China and, 801; Christians and, 802–3; compulsory education and, 804; computers and, 804–5; exams and, 800–1; feedback and, 804; globalization and, 805; impact of, **800–5**; Japan and, 542–43, 801, 808; Jesuits and, 801; Jews and, 802–3; merchants and, 802; methods of, 804–5; office practices and, 647–51; professors and, 694–701; reciprocity and, 801; School Establishing Act and, 804; Spain and, 801–2; telegraphs and, 806–8; tracking, 803–4; values and, 805; within institutions, 802–3

technology: accounting and, 648, 703; advertising and, 181; artificial intelligence (AI) and, 247,

358, 380, 537, 626, 707; capitalism and, 177; China and, 179-82, 188, 383; computers and, 239 (see also computers); globalization and, 179, 387; Islamic world and, 166, 182, 585; Japan and, 178, 186-88; journalism and, 181-82, 185, 708; Korea and, 40, 383; maps and, 178, 186; media and, 174-89; news and, 176-78, 181; nineteenth century, 174-89; online journals and, 532-33; Ottomans and, 178; phonographs and, 174, 186–89, 688, 739–44, 756, 792; photojournalism and, 181, 190-91, 195, 202; printing and, 174-77, 181, 184; radio and, 244 (see also radio); recorded sound and, 174, 185-89, 688, 739-44, 756, 792; telegraphs and, 176-81 (see also telegraphs); telephones and, 174 (see also telephones); television and, 191, 204, 743; xylography and, 181

telecommunications: advertising and, 809; Chappe and, 806; commercialization and, 808; computers and, 811; Cooke and, 176, 807; fiber optics and, 178, 624, 724, 810–11; impact of, **806–12**; merchants and, 807; microwave, 810; Morse and, 807; newspapers and, 807; postwar revolution in, 810–11; pre-electric systems and, 806–7; radio and, 807 (*see also* radio); satellite, 810–11; telegraphs and, 241 (*see also* telegraphs); Telex machines and, 768, 807; telephones and, 808–9 (*see also* telephones); Wheatstone and, 176, 807

Telefunken, 230

Telegraphic Signals or Marine Vocabulary (Popham), 806–7

telegraphs: applications of, 176-77; Chappe and, 176; China and, 179-81; communication and, 241-45, 250, 254, 806-12; Cooke and, 176, 807; digitization and, 402; forecasting and, 452-53; impact of, 174-81, 185-89, 602-3; information policy and, 505; International Telegraph Union (ITU) and, 178–79, 200, 505; libraries and, 567; Marconi and, 212, 228-30, 809-10; merchants and, 613-15; Morse code and, 176-80, 245, 250, 254, 740, 807; networks and, 191–95, 198–200, 204, 209, 620-22; newspapers and, 177, 634; optical, 807; political reporting and, 671; Popham and, 806-7; postal service and, 214, 675; privacy and, 688; public opinion and, 212-16; radio, 809-10; recording and, 740; speed of information and, 159; spies and, 409-10; submarine cable, 177-78, 213-16, 505, 808; surveilling and, 791-92; teaching and, 806-8; telegraphs and, 212–16; Titanic disaster and, 212; wartime uses of, 177-78; Western Union and, 176, 808; Wheatstone and, 176, 807

telephone books, 336

Tolstoy, Leo, 348

Tomlinson, Ray, 251 Tone Test, 741

telephones: Bell and, 185-86, 214, 219, 228, 245, 725, 740, 808-9; cellular, 410, 745, 811-12; China and, 188–89; communication and, 241, 244-47, 251, 381, 808-12; computers and, 381; Dictaphones and, 648, 768, 770; digitization and, 402; forecasting and, 452; impact of, 174, 185-89, 211, 540, 550, 567, 739-40, 744, 777-78, 808-9; information policy and, 505; intrahouse use of, 187; Japan and, 186-88; memos and, 610; merchants and, 614; networks and, 622, 725; newspapers and, 634; office practices and, 648; postal service and, 675; privacy and, 687-88; public opinion and, 220, 229; sales catalogs and, 755; search and, 281; spies and, 410; surveys and, 606, 791–92; tapping of, 410, 687; universal service and, 214 television, 191, 204-5, 743 Telex machines, 768, 807 Ten Commandments, 511 Ten-Thousand-Year Clock, 279 Terman, Lewis M., 525 terrorists, 411, 547, 666, 687, 736 Teuscher, Simon, 109 Thales, 427 Thámara, Francisco, 437 Theater of Human Life (Zwinger), 80-82 Theodosian code, 15 Thesaurus Linguae Latinae, 446–47 Third Wave, The (Toffler), 467 Thirty Years' War, 119, 129, 137 Thomas, William, 818 Thompson, Emily, 739-45 Thurloe, John, 419 Tiangong Kaiwu, 39 Tianyi ge library, 47 Tibet, 7, 10, 43–46, 60, 438, 553, 679, 828–30 Tice, John, 454 Tigris River, 22 Time magazine, 203, 208, 240, 691 Times of London, 219, 561 Timotheus of Miletus, 329 Timurid dynasty, 22, 34-36 Tinder, 298-99 Tín Hoc, 42 Tiro, 563, 768 Titanic disaster, 211-12, 218, 229-30, 235-36 Tito, 775 Tocqueville, Alexis de, 233 Toronto Star, 196 tocsins, 62 Toffler, Alvin, 455, 467 Toffler, Heidi, 455 Tōhō News Agency, 194 Tokugawa shogunate, 41, 44, 47-56, 59, 414, 504, 549

Tonight (TV show), 205 Tönnies, Ferdinand, 221, 225 Too Much to Know (Blair), 629 Toronto Globe, 784 Tournes, Samuel de, 340 Tout, T. F., 459 trade diasporas, 89-91 trademarks, 514, 520-22, 587, 768 trading companies, 89–91, 100, 140, 145, 290, 476, 482, 541, 614 Trajan, 13 transatlantic cable, 177-78, 213-16, 505, 808 translating: 813-19; accounting and, 289; advertising and, 818; algorithm texts and, 300, 302; appraisals and, 306-7; archaeological decipherment and, 308-9; art of memory and, 320, 322; Asia and, 42, 44-45, 48, 50-54, 59; Bible and, 428, 460, 493, 556-57, 773; bibliography and, 330; books and, 334, 338; cases and, 362; censorship and, 367; codex and, 816-17; communication and, 241, 250; diagrams and, 397, 399; digitization and, 402–5, 542; documents and, 166; encryption and, 419; error and, 428, 431; ethnography and, 434, 437–38; Europe and, 62, 72, 77–78, 124; excerpting and, 441; forgery and, 460; horoscopes and, 486, 488; impact of, 813-19; indexing and, 493-94; interpreters and, 90, 434-36, 625, 814-15; Islamic world and, 22, 27-28, 34, 36-37; Korea and, 42; learning and, 556, 558; letters and, 95-96; linguistic issues and, 813-15; maps and, 599, 818; materiality of, 814; media technologies and, 176; merchants and, 95-96; networks and, 86, 90, 95, 98, 101-2, 195, 204, 209, 625, 627; newsletters and, 630; observing and, 540, 643; periodicals and, 145-49; Persia and, 823; plagiarizing and, 662–63; Portugal and, 814–15, 818; premodern regimes and, 7, 16-17; printing and, 815-18; programming and, 707; public opinion and, 223, 235; records and, 124; reference books and, 748; scrolls and, 765; search and, 266, 268, 277; sermons and, 773, 775; Spain and, 816-18 Transpac, 251 Trau Fragment, 460 travel: 820-27; accounts of, 823-27; Bible and, 820; Catholics and, 822; Chinese roads and, 10; Christians and, 822, 826; Dutch East India Company and, 89–90, 290, 476, 482, 541, 614; Egypt and, 822-23; fact collection and, 820-23; forgery and, 461-62; global mobility and, 154-59; information explosion and, 76; Jesuits and, 822,

824; Jews and, 825-26; knowledge and, 539-40;

maps and, 820–22, 824–25 (see also maps); merchants and, 3–11, 285–87, 822; networks and, 86; news and, 822; passports and, 152–54, 157–59, 165, 173, 413, 791; Periplus and, 8–10; Polo and, 436, 618; power and, 820–23; railroads and, 175–77, 213, 219, 725, 807; Roman roads and, 11–12; Spain and, 821; trading companies and, 89–90, 290, 476, 482, 541, 614

Trésor des Chartres, 113

Trevor-Roper, Hugh, 463
Trismegistus, Hermes, 460
Trithemius, Johannes, 72, 327, 419
troll farms, 501

Trollope, Anthony, 675 Truman, Harry, 701 Trumbull, George, 166–67

Trump, Donald, 411-12, 499, 608, 610, 781

Tsar Kolokol III bell, 323 Tsushima domain, 48

Tunisia, 8

Turing, Alan, 246–48, 385, 417, 707 Turkey, 178, 205, 477, 630, 674, 804 Twitter, 296, 338, 411–12, 667, 777–78, 781

Tworek, Heidi J. S., 211–36, 506

typewriters, 171, 251, 381, 567, 609, 647–48, 651, 655, 722, 756, 768

typography, 61, 65–71, 336, 586, 783–84, 828, 830

Tzara, Tristan, 446

Uffizi, 76-77

Ullstein, Leopold, 219

*Ultimate Ambition in the Arts of Erudition, The* (al-Nuwayrī), 30–31

Umayyad Mosque, 17

underwater cables, 177-78, 192-93, 808

UNESCO, 800

Union of South Africa, 157

Unique Necklace, The (Ibn 'Abd Rabbih), 25–26 United Nations, 280, 465, 505–6, 725, 800 United States Information Agency (USIA), 227

UNIVAC, 247

\*Universal Decimal Classification (UDC), 327–28, 576–77

Universal Declaration of Human Rights, 506 Universal History of the Things of New Spain, 438–39

Urban VIII, 489

US Centennial Exposition, 185

Universal Lexicon (Zedler), 441

US Constitution, 653 US Copyright Act, 520, 604 Used Books (Sherman), 732

US Federal Communications Commission, 250

US Information Agency (USIA), 506

USS Maddox, 207

USS Maine, 190, 196 Utopia (More), 824 Uzbekistan, 5, 17

Vaidhyanathan, Siva, 274, 280, 777-82

Vaihinger, Hans, 405 Vail, Alfred, 807 Valadés Diego, 321–22

Valencia, 18 Valéry, Paul, 720 Valla, Lorenzo, 459, 529 van Foreest, Pieter, 363 van Groesen, Michiel, 137 van Musschenbroek, Pieter, 645

Vatican Library, 64-65, 272, 328, 459, 540

Vega, Garcilaso de la, 440 Velasco, López de, 821 Ventris, Michael, 310 Vergil, Polydore, 459, 660–61

vernacular, 43, 84, 106, 161; press and, 130

vernacularization, 43–5 Verne, Jules, 446, 497 Verstegan, Richard, 461 Vesalius, Andreas, 683

Vietnam, 42-43, 45, 51, 54-56, 190-91, 205-8,

410, 438, 822, 828–29 Vigenère, Blaise de, 419 Viguier, Septime Auguste, 180 Villermont, Cabart de, 96–97 Villiers, George, 471

Vincent, Jacques-Claude Marie, 343–44 Vincent of Beauvais, 63, 74–75, 660 Virgil, 333, 459, 637, 729–32, 765, 767

Vismann, Cornelia, 479 Vitruvius, 488, 591 Vivo, Filippo de, 124

Voice of America (VOA), 226–27, 506 Voltaire, 83–84, 148, 433, 625, 824

Voyage into the Levant (Blount), 825

Wake, Joan, 317 Wakelin, Daniel, 761 Wakeman, George, 463 Wallace, John William, 328 Wall Street, 209 Walpole, Horace, 461 Walpole, Robert, 633

Walsham, Alexandra, 545–50

Walter, Grey, 385 Wang Ji, 361

Wan Guoding, 494–95 Wanli reign, 58

War and Peace (Tolstoy), 348 Warburg, Aby, 446

Warner, Michael, 721

Warren, Samuel, 686, 688–89 Waser, Johann Heinrich, 113–14

Washington Post, 207-8

Watergate, 207-8

Wealth of Nations, The (Smith), 291, 620

Wearmouth-Jarrow, 760 Webb, Beatrice, 639 Weber, Alfred, 346

Weber, Max, 221, 344-47, 413-14, 449, 476

Wechel, Chrétien, 340

Wechsler Adult Intelligence Scale (WAIS), 525

Wechsler Intelligence Scale for Children (WISC), 525

Wedgwood, Josiah, 292 Weedon, Alexis, 783–85 Weiditz, Hans, 683 Weiner, Norbert, 756

Weizenbaum, Joseph, 240, 707

Weld, Kirsten, 734–38 Wells, Ida B., 218 Wells, H. G., 453

Werden, Johannes von, 774

Western Associated Press, 194, 200, 216, 603

Western Union, 176, 808 Westover, Tara, 555, 558–59 Whateley, Richard, 431

"What Is Enlightenment?" (Kant), 142

WhatsApp, 778, 781–82 Wheatstone, Charles, 176, 807 White, Richard, 461

White Album (Beatles), 206 Whitman, Walt, 276

Whole Earth Catalog (Brand), 386, 756–57 Whole Earth 'Lectronic Link (WELL), 757

Wicks Rotary Typecaster, 193

Wiener, Norbert, 246-47, 255, 382-85

WikiLeaks, 412, 692 Wikipedia, 53, 431, 543

Wilhelm II (German emperor), 230 Wilkes, John, 145, 634, 670–71

Wilkes, Maurice, 703 Wilkins, John, 175, 421 Wille, Johann Georg, 625–26

Willer, George, 340
William of Mantua, 460
Williams, Raymond, 275, 299
Williamson, Oliver E., 612
William the Conqueror, 476, 796

Wilson, Patrick, 327

Wilson, Woodrow, 218, 222, 500 Wiquefort, Abraham de, 407

Wired Magazine, 272
Wise, Thomas, 462
Wittgenstein, Ludwig, 400

Wolff, Bernhard, 194, 200, 216, 603

Wölfflin, Eduard, 698–99 Wolfram, Stephen, 266 Wolsey, Thomas, 770 woodblock. *See* xylography

women: ablutions and, 10; albums and, 295; as archivists, 313, 317; computers and, 247, 379, 703, 705; East Asia and, 44; Europe and, 73; immigrants and, 155; Islamic world and, 25; labor and, 171–72, 187–88, 247, 263, 313, 317, 647, 674, 705, 760, 769; letters and, 551–52; manuals and, 590; marginalization of, 235, 280, 382, 427, 814; networks and, 95, 201; office practices and, 171–72, 647–48; periodicals and, 133, 139–41; privacy and, 689; public opinion and, 213; records and, 735; sales catalogs and, 756; scribes and, 760; secretaries and, 769; sermons and, 775; suffrage and, 623

Woodward, Bob, 208 World Bank, 465

World Intellectual Property Organization, 516

World of Fashion magazine, 399-400

World Summit on the Information Society (WSIS), 465–66

World Trade Center, 411

\*World Wide Web, 244, 252, 254, 259–62, 328, 338, 550, 619, 621, 779

Worm, Ole, 826

Wren, Christopher, 512-13, 638

Wu, Timothy, 255-56

Wudi, 6

Wundt, Wilhelm, 698 Wycliffe, John, 773

Xavier, Frances, 93 Xenophon, 265 Xerox, 640, 657 Xi'an, 7–8 Xiongnu, 4, 6

Xiphilinus, Ioannes, 694

Xuanzong, 511–12

xylography: books and, 334; China and, 828–30; East Asia and, 40–41, 67–68; Europe and, 67, 69; lithography and, 586; process of, 828–30; technology and, 181; woodblock printing and, 16, 39–42, 53, 55, 66–67, 78, 181, 193, 334–35, 503, 586, 677–78, 815, **828–30** 

Yahuda, Abraham Shalom, 826 Yale, Elizabeth, 294–96 Yamahata, Yosuke, 204 Yandex, 271

Yates, JoAnne, 170–71, 608–10

Year 2440, The: A Dream If Ever There Was One (Mercier), 267–69

Yeo, Richard, 636–40 Yuezhi, 6 Yi Chun'hŭi, 46 Yi Kwanmyŏng, 56 Yongle Encyclopedia, 53 Young, Liam, 580 Young, Thomas, 308–9

Yuan, 41, 44, 434, 678 Yuezhi, 5 Yungo-Lo, 436

Yupanki, Felipe Tupa Inka, 536

Yu Xunling, 184

Zakai, R. Yohanan ben, 825 Zaloom, Caitlin, 726 Zedelmaier, Helmut, 441–47 Zedler, Johann Heinrich, 441

Zeng Jing, 55 Zhang Qian, 6–7 Zheng He, 434, 821 Zhizao, Li, 52 Zhu Xi, 56

Zhu Yuanzhang, 57

Zins, Chaim, x

Zimmerman, Arthur, 215-16, 409

Zoetrope, 741 *Zohar*, 18 Zola, Emile, 497 Zoroastrians, 7 Zuckerberg, Mark, 781 Zuikei Shūhō, 58–59

Zwinger, Theodor, 80-82, 445